

## **Select Commission Briefing - 3.00 p.m.**

### **SELF REGULATION SELECT COMMISSION**

**Venue: Town Hall, Moorgate  
Street, Rotherham.**

**Date: Thursday, 5th September, 2013**

**Time: 3.30 p.m.**

### **A G E N D A**

1. Apologies for Absence.
2. To determine if the following matters are to be considered under the categories suggested in accordance with the Local Government Act 1972.
3. To determine any item which the Chairman is of the opinion should be considered as a matter of urgency.
4. Declarations of Interest.
5. Questions from Members of the Public and the Press.
6. Communications.

#### **For Decision:-**

7. Minutes of the previous meeting held on 25th July, 2013 (herewith) (Pages 1 - 5)

#### **For Monitoring:-**

8. Corporate Plan Outcomes - Outturn 2012-13 (report herewith) (Pages 6 - 40)
9. Revenue Budget Monitoring for the period ending 31st May 2013 (report herewith) (Pages 41 - 51)

10. Exclusion of the Press and Public.  
The following item is likely to be considered in the absence of the press and public as being exempt under Paragraph 3 of Part 1 of Schedule 12A to the Local Government Act 1972 (as amended March 2006) (information relating to the financial or business affairs).
11. Digital Region Limited (report herewith) (Pages 52 - 60)
12. Date and Time of Next Meeting - Thursday, 10th October, 2013 at 3.30 p.m.

**Members of the Self-Regulation Select Commission:-**

**Councillor Currie (Chairman)**

**Councillor Beck (Vice-Chairman)**

**Councillors Ahmed, Atkin, Beaumont, Ellis, Godfrey, J. Hamilton, Mannion, Pickering, Sharman, Tweed, Vines and Watson.**

**SELF REGULATION SELECT COMMISSION**  
**25th July, 2013**

Present:- Councillor Currie (in the Chair); Councillors Atkin, Beaumont, Beck, Ellis, Mannion, Sharman and Watson.

Apologies for absence were received from Councillors Ahmed, Godfrey, J. Hamilton and Tweed.

**13. DECLARATIONS OF INTEREST**

There were no declarations of interest made at this meeting.

**14. QUESTIONS FROM MEMBERS OF THE PUBLIC AND THE PRESS**

There were no questions from members of the public or the press.

**15. MINUTES OF THE PREVIOUS MEETING HELD ON 27TH JUNE, 2013**

Resolved:- That the minutes of the previous meeting of the Self Regulation Select Commission held on 27<sup>th</sup> June, 2013 be approved as a correct record for signature by the Chairman.

**16. GENERAL FUND - BUDGET PRINCIPLES 2014/15 AND ONWARDS 2014/15, PROPOSED BUDGET SETTING TIMETABLE AND 2013/14 REPORTING IN YEAR FINANCIAL BUDGET PERFORMANCE**

Further to Minute No. 31 of the meeting of the Overview and Scrutiny Management Board held on 12th July, 2013, consideration was given to a report and presentation from the Chief Finance Manager stating that, in setting the 2013/14 Revenue Budget, it was recognised that the current budget principles, which had served the Council well since the coalition Government's programme of austerity begun, would need to be refreshed in the light of recent announcements that further significant funding reductions for Local Government were likely to continue until at least 2018. The report proposed a refreshed set of budget principles on which the 2014/15 General Fund Revenue Budget and Medium Term Financial Strategy should be based.

Included within the report were the proposed Budget Setting Timetable for the 2014/15 Revenue Budget and Medium Term Financial Strategy, as well as the proposed approach to reporting the Council's in-year financial performance to Cabinet for the financial year 2013/14.

Members noted that with the significant, continuing funding reductions and welfare reform changes that the Council would face until at least 2018, the new Budget principles were proposed to ensure the Council operated effectively within the funding available and delivered savings which would have the least impact on the citizens of Rotherham.

The salient points of the presentation by the Chief Finance Manager were:-

: recent changes as a consequence of the spending review announcements made by the Chancellor of the Exchequer on 26<sup>th</sup> June, 2013;

: April 2013 – changes, imposed by central Government, in the way local government is financed and to the welfare system;

: the significant increase in importance of business rates, in terms of a local authority's income;

: emphasis on the economic performance of local areas; areas performing less well, will have fewer resources to provide services;

: national funding outlook and the changing mix of funding, including the business rates retention scheme, with the revenue support grant reducing;

: the outlook for local authorities, especially the rising costs of adult social care, waste management and also capital financing costs;

: the prediction that the UK economy will take several years to recover;

: using traditional budget principles, the Council has managed significant budget reductions during the past three years, although the next generation of savings will be especially difficult to manage without adopting new budget principles;

: the proposed budget principles were outlined, including the need for the Council to stop doing things that are not important to all people; a Business and Jobs Growth Fund might need to be created;

: the importance of “crime and grime” services for local people; eg: concerns about anti-social-behaviour, noise nuisance and also ensuring that street cleansing and grass cutting services are maintained;

: a forecast of the indicative funding gap from 2014/15 to 2015/16; including revisions as a consequence of the coalition Government's recent spending review;

: adjustments to Formula Grants;

: the summary position of the Medium Term Financial Strategy; including a funding gap of £20.1 millions in 2014/15 and resources predicted to reduce by a further £15 millions in the 2015/16 financial year;

: the scope for change : managing service reductions and effectively

managing choice and risk by making difficult decisions about funding for services;

: the report included details of the budget timetable for the budget setting process for the 2013/2014 financial year; the timetable might be subject to change, in response to the timing of any announcements by the coalition Government.

Members discussed the following issues:-

: the implications for the Local Plan and for new house-building in the Rotherham Borough area;

: the need to grow the local economy, both in the Rotherham Borough area and in the wider Sheffield City Region; acknowledging the difficulty of local authorities having to compete with each other to attract inward investment;

: the Council's proposed business and jobs growth fund (which is still subject to Council approval);

: the importance of attracting inward investment to the Rotherham Borough area;

: the impact upon citizens of current and future reductions in the level of provision of public services;

: future savings proposals for this Council's service budgets;

: the implications for the Council's contracts (eg: waste management);

: the impact of the Government's welfare reform agenda.

Resolved:- (1) That the report be received and its contents noted.

(2) That the Self Regulation Select Commission notes:-

(a) the refreshed principles on which the 2014/15 General Fund Revenue Budget and Medium Term Financial Strategy will be based;

(b) the proposed budget setting timetable for the Revenue Budget 2014/15 and Medium Term Financial Strategy; and

(c) the proposed approach to reporting to the Cabinet on the Revenue Budget monitoring position for the 2013/14 financial year and onwards.

## **17. CORPORATE RISK REGISTER**

Consideration was given to a report presented by the Director of Audit and Asset Management which provided details of this Council's current

Corporate Risk Register summary. The summary showed the risks associated with the Council's most significant priorities and projects and actions being taken to mitigate these risks.

The Council's key current risks continued to relate to the financial pressures faced by the Council, the implications of the Welfare Reforms, delivering effective Children's Services within budget, Digital Region and economic growth. The report summarised the management actions being taken to mitigate these and other risks in the register and listed the risks in descending inherent risk order, so as to emphasise the most significant among them.

The risk score on the priority relating to achieving economic growth had increased in recognition of the continuing weak economic conditions. The financial risk associated with the administration of Municipal Mutual Insurance had been downgraded following more certainty over the Council's financial liability and the availability of funding to meet this liability.

A new risk relating to Family Poverty (0044) had been added to the register, in recognition of this issue being a key priority for the Health and Wellbeing Board.

The Select Commission's debate about this matter included the following salient issues:-

: realignment of the risk register with the Council's revised Corporate Plan and corporate priorities;

: the risks related to social deprivation and families living in deprived circumstances;

: the need to ensure that there will be a cost effective outcome to the Digital Region project;

: insurance liabilities in respect of the Municipal Mutual Insurance company, which is now in administration.

Resolved:- (1) That the report be received and its contents noted.

(2) That the contents of the Corporate Risk Register summary, now submitted, be noted.

(3) That the current assessment of the Council's priority corporate risks be approved.

(4) That, in the view of this Select Commission, the Corporate Risk Register should refer to the risks associated with the Council's role as corporate parent of looked after children and young people.

**18. SIX MONTH PROGRESS UPDATE TO SCRUTINY RECOMMENDATIONS OF RMBC'S DISTRICT HEATING SERVICE (FEBRUARY 2013)**

Further to Minute No. 105 of the meeting of the Cabinet held on 5<sup>th</sup> December, 2012 and Minute No. 133 of the meeting of the Overview and Scrutiny Management Board held on 1<sup>st</sup> March, 2013, consideration was given to a report presented by the Programme Delivery Manager concerning the Council's response to the findings and recommendations of the scrutiny review of District Heating.

Recommendation 16 of the review stated that the Self Regulation Select Commission (or any successor body) should assume a monitoring role to ensure that the progress on the implementation of the agreed recommendations is maintained.

The Select Commission's discussion of this matter included the following salient issues:-

- : the positive approach of the multi-disciplined steering group meetings;
- : district heating charges being separate from housing rent charges;
- : the district heating stock condition survey is now taking place, having begun during June 2013;
- : capital investment requirements for new District Heating equipment, as well as the possibility of grant funding being available;
- : progress in respect of the Swinton Fitzwilliam estate.

Resolved:- (1) That the report be received and its contents noted.

(2) That this Select Commission notes that positive progress is being made with the recommendations of the scrutiny review of District Heating, as set out in the appendix to the submitted report.

(3) That an annual update report be submitted to a meeting of the Self Regulation Select Commission, during February 2014, detailing the further progress being made with the recommendations of the scrutiny review of District Heating.

<b>ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS</b>
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<b>1.</b>	<b>Meeting:</b>	<b>Self-Regulation Select Commission</b>
<b>2.</b>	<b>Date:</b>	<b>5 September 2013</b>
<b>3.</b>	<b>Title:</b>	<b>Corporate Plan Outcomes – Outturn 2012-13</b>
<b>4.</b>	<b>Directorate:</b>	<b>NAS – Performance &amp; Quality</b>

## 5. Summary

This report provides an analysis of the Council's current performance against the 29 key delivery outcomes contained within the Corporate Plan. It is an Outturn and/or current position statement based on available performance measures for all outcomes together with an analysis of progress on key projects and activities which contribute to delivery of the plan.

The report also aims to highlight the various economic and political influences including changes in national policy and funding which are already, or could potentially impact, on the performance of our corporate plan outcomes.

As a result of service reductions the Council's ability to deliver all the corporate plan objectives is a high risk. The potential for under performance as a result of budget reductions highlights the importance of integrating performance, risk and financial reporting.

The current position is:

<b>Red</b>	<b>2</b> outcomes requiring major intervention at SLT level
<b>Amber</b>	<b>8</b> outcomes requiring intervention at Directorate level
<b>Green</b>	<b>19</b> outcomes requiring no intervention at this time
<b>N/A</b>	<b>0</b> outcomes which cannot be assessed at this time

The direction of travel between March 2012 and this April 2013 has been positive with a decrease in the number of Red & Amber rated outcomes, therefore demonstrating that effective performance management processes and procedures are in place across the authority. A recent Investors in People (IIP) external review saw the authority retaining 'gold' standard, and reinforces that officers see our performance to our customers is of utmost importance.

Work has now begun to review the Council's Corporate Priorities and a revised version of the Corporate Plan on a page has been developed and is attached as an appendix to this report. The revised plan is now going through a period of consultation and has already been to the overview and scrutiny management board (OSMB). As part of the consultation process, OSMB recommended that members of the self-regulation select committee be asked to examine how the new priorities and commitments will be delivered through the council's service plans and key strategies i.e. the "golden thread". A separate session will be arranged to facilitate this.



**6. Recommendations**

**That Self-Reg:**

- Agree the current position against each of the Corporate Plan outcomes, ensuring implementation of the proposed interventions and corrective actions.
- Keep any performance issues under close review to prevent green/amber outcomes becoming rated red.
- Note that the corporate plan outcomes are currently being reviewed and undergoing a consultation process. The outcome of this will influence future performance reporting. The Self-Regulation Select Commission will be consulted through this report and/or under separate cover on the developing outcomes and its performance management.

**7. Proposals and Details**

**7.1 Approach**

This performance report provides an analysis of the Council's current performance on the **29 key delivery outcomes** contained within the Corporate Plan.

Achievements against delivery of the outcomes are rated as follows:

<b>Red</b>	Not meeting targets; adverse Direction Of Travel; actions giving cause for concern; requires <b>major</b> intervention by SLT level.
<b>Amber</b>	Slight variation from targets; some actions behind program; requires <b>minor</b> intervention Directorate level.
<b>Green</b>	Meeting or exceeding targets; actions progressing well; no intervention required at this time.
<b>N/A</b>	Cannot be assessed e.g. due to lack of data

Assessment is based on data currently available for:

- Indicators/targets
- Customer perception
- Progress against key actions and outcomes
- Status of financial and operational risks
- National Policy

## 7.2 Corporate Plan Score Card – Outturn 2012-13

Our Vision for Rotherham is:	Rotherham is a prosperous place and Rotherham people have choices and opportunities to improve the quality of their lives. Rotherham communities are safe, clean, and green where everyone enjoys a healthy and active life.									
The most important things that we do are:	Making sure no community is left behind.		Providing quality education; ensuring people have opportunities to improve skills, learn and get a job.		Ensuring care and protection are available for those people who need it most.		Helping create safe and healthy communities.		Improving the environment.	
What we want to achieve is:	01	Fewer children are living in poverty. <i>Joyce Thacker</i>	06	More people have formal qualifications and skills. <i>Dorothy Smith</i>	13	All children in Rotherham are safe. <i>Clair Pyper</i>	18	People feel safe where they live. <i>Dave Richmond</i>	24	Rotherham is prepared for present and future climate change. <i>Colin Earl (David Rhodes)</i>
	02	Everyone can expect to live longer lives, regardless of where they live. <i>John Radford (NHS)</i>	07	There are more successful new businesses. <i>Paul Woodcock</i>	14	Vulnerable people are protected from abuse. <i>Shona McFarlane</i>	19	Anti social behaviour and crime is reduced. <i>Dave Richmond</i>	25	Clean streets. <i>David Burton</i>
	03	The gap in average earnings is reduced. <i>Paul Woodcock</i>	08	More people come to the Town Centre for work, shopping and for things to do and see. <i>Paul Woodcock</i>	15	People in need of support and care have more choice and control to help them live at home. <i>Shona McFarlane</i>	20	People are able to live in decent affordable homes of their choice. <i>Dave Richmond</i>	26	Safer and well maintained roads. <i>David Burton</i>
	04	Less people struggle to pay for heating and lighting costs. <i>Dave Richmond</i>	09	More people are in work or training and less are living on benefits. <i>Paul Woodcock</i>	16	People in need get help earlier, before reaching crisis. <i>Clair Pyper / Shona McFarlane</i>	21	More people are physically active and have a healthy way of life. <i>John Radford</i>	27	Reduced CO2 emissions and lower levels of air pollution. <i>Ian Smith (David Rhodes)</i>
	05	More people in our poorest communities are in work and training. <i>Paul Woodcock</i>	10	All 16-19 years olds are in employment, education or training. <i>Dorothy Smith</i>	17	Carers get the help and support they need. <i>Shona McFarlane</i>	22	People from different backgrounds get on well together <i>Dave Richmond</i>	28	More people are recycling. <i>David Burton</i>
			11	Babies and pre school children with a good start in life. <i>Dorothy Smith</i>			23	People enjoy parks, green spaces, sports, leisure and cultural activities. <i>David Burton / Paul Woodcock</i>	29	More people are cycling, walking or using public transport. <i>Paul Woodcock</i>
			12	Higher paid jobs. <i>Paul Woodcock</i>						

**Trend Analysis** - The table below demonstrates the changes over time in the R.A.G status against each outcome.

Outcome	Baseline March 2011/12	Period 1 July 2012	Period 2 Oct 2012	Period 3 Feb 2013	Period 4 / current position 12/13
1) Fewer children living in child poverty	Red	Red	Red	Red	Red
2) Everyone can expect to live longer lives regardless of where they live	Amber	Amber	Amber	Amber	Amber
3) The gap in average earnings is reduced	Green	Amber	Amber	Green	Green
4) Less people struggle to pay for heating and lighting costs	-	Green	Green	Green	Green
5) More people in our poorest communities are in work and training	Amber	Amber	Red	Red	Red
6) More people have formal qualifications and skills	Red	Amber	Amber	Amber	Amber
7) There are more successful new businesses	Amber	Amber	Amber	Green	Green
8) More people come to the Town Centre for work, shopping and for things to do and see	Red	Amber	Green	Green	Green
9) More people are in work or training and less are living on benefits	Red	Red	Amber	Amber	Amber
10) All 16-19 years olds are in employment, education or training	Green	Amber	Amber	Amber	Amber
11) Babies and pre-school children with a good start in life	Green	Green	Green	Green	Green
12) Higher paid jobs	Green	Amber	Amber	Green	Green
13) All children in Rotherham are safe	Amber	Green	Green	Green	Green
14) Vulnerable people are protected from abuse	Green	Green	Green	Green	Green
15) People in need of support and care have more choice and control to help them live at home	Green	Green	Green	Green	Green
16) People in need get help earlier, before reaching crisis	Red	Green	Green	Green	Green
17) Carers get the help and support they need	Amber	Green	Green	Green	Green
18) People feel safe where they live	Amber	Green	Green	Green	Green
19) Anti-social behaviour and crime is reduced	Amber	Green	Green	Green	Green
20) People are able to live in decent affordable homes of their choice	Green	Green	Green	Green	Green
21) More people are physically active and have a healthy way of life	Green	Red	Red	Red	Amber
22) People from different backgrounds get on well together	-	Amber	Amber	Amber	Green
23) People enjoy parks, green spaces, sports, leisure and cultural activities	Amber	Amber	Amber	Amber	Green
24) Rotherham is prepared for present and future climate change	Amber	Amber	Amber	Amber	Amber
25) Clean Streets	Green	Amber	Amber	Amber	Green
26) Safer and well maintained roads	Red	Amber	Amber	Amber	Amber
27) Reduce CO2 emissions and lower levels of air pollution	-	Green	Amber	Green	Green

28) More people are recycling	Amber	Green	Amber	Green	Amber
29) More people are cycling, walking or using public transport	-	Unrated	Green	Green	Green

### 7.3 Corporate Plan Outcomes – Outturn and/or current position 2012-13

#### Priority 1 – Making sure no community is left behind

#### Outcome 01 – Fewer children are living in poverty Owner – Joyce Thacker

As previously reported the council and partners are working on a number of initiatives to try and mitigate the impact of child poverty and ensure it does not increase further (see examples below). It should be emphasised though, that much activity is primarily concerned with mitigating the effects of poverty and intervening early to help children and families. Achieving significant reductions in poverty levels is, realistically, a longer term aim, particularly during an economic downturn.

Using the annual outturn of NI 116 - the overall proportion of children living in child poverty in Rotherham measure - child poverty levels have decreased locally, showing a positive direction of travel in 2010 to 22.6% of children in Rotherham living in a household with relative low income, down from 23.3% in 2009.

Child poverty levels remain higher than the target of 21.6% and the national rate of 20.6%, but are still lower than both the statistical neighbour average of 24.7% and the South Yorkshire figure of 23.7%.

#### Ongoing activity

- Rotherham's *families for change* work has engaged 245 families and will work with a further 365 in the next financial year. As part of this programme, there is now an employment support officer in post (seconded from DWP) to provide dedicated support for families to seek and sustain employment. Families in Rotherham who will be affected by the benefit cap have all been contacted and advice has been provided through a known contact (through families for change work or children's centres) where possible. Children's centres also offer employment advice and – through the families information service – can help eligible families claim tax credits to pay for childcare costs.
- The council has recently agreed a number of proposals to provide advice and support for tenants affected by benefit changes. This includes the establishment of a comprehensive money advice service; targeted ICT skills training; recruiting an employment solutions officer to be based in Key Choices Property Shop; and offering incentives for people to open bank or credit union accounts.

We are also providing targeted support to EU migrants and new arrivals. Ongoing activity includes:

- REMA are providing a drop in service for EU migrants / Roma at the Unity Centre, offering support on producing a CV, translating letters and communicating with CAB, housing benefit and council tax departments and DWP.
- As part of the Roma Matrix programme, a series of workshops will be delivered with members of the Roma community on employment / employability skills and entrepreneurship. Further elements of the programme include mentoring in public services, workshops with employers and work experience opportunities.
- We are also continuing to develop children's centre activities to support EU Migrant families in Coleridge, Broom Valley and Ferham.

**SLT ACTION: to continue to drive coordinated action to tackle child poverty, ensuring the various strategies and initiatives are aligned and resources are utilised effectively.**

**Outcome 02 – Everyone can expect to live longer lives, regardless of where they live**

**Owner – John Radford**

Following revised population estimates, the current provisional data suggests the following:

- The 3-year average 2009-2011 for life expectancy at birth is 77.8 years for males and 81.65 years for females (both provisional)
- This is an increase of around 0.5 years for both males and females over the 2008-2010 figures of 77.3 years (males) and 81.2 years (females)

**Outcome 03 – The gap in average earnings is reduced**

**Owner – Paul Woodcock**

National survey data published annually suggests that average earnings in Rotherham are now 92.1% of the UK national average 89.8% in 2011. This is above the target to achieve 90% of the national average.

**Outcome 04 – Less people struggling to pay for heating and lighting costs**

**Owner – Dave Richmond**

It has been a very successful year and we have supported over 4,000 customers with fuel poverty. As part of the programme with Carbon Emissions Reduction (CERT) we have:

- Insulated 9684 lofts saving residents a total of £1,675,322.0 and 697,248 Kg of CO<sub>2</sub>; year-end performance data 9756 lofts saving residents 991,255 Kg and a total of £1,678,032.00
- Filled 15381 cavities saving residents a total of £2,076,435.0 and 8,456,473.0 Kg of CO<sub>2</sub> provided external cladding to 23 properties saving householders £8,855 and 43.7 T of CO<sub>2</sub>;
- Carried out 455 external wall insulations, saving householders £206570.0 and 1904.07 KG of CO<sub>2</sub>.

All council properties receive some form of central heating with the bulk (93%) receiving it through gas. In the Private sector we have cumulatively to date:

- Insulated **70,818** lofts saving householders **£12,747,240** and 51,697,140 KgCO<sub>2</sub>;
- Delivered **31,178** cavity wall insulations saving householders **£4,364,920** and 17,459,680 KGC0<sub>2</sub>

This marks the end of CERT insulation programs in the UK Green Deal & ECO now replace CERT. ECO and Green Deal applications will be lodged on the Land Mark register any assess to this information in the future to monitor private household take up on energy efficiency will have to be paid for. There will be data available from utilities possibly at the end of first phase ECO 2015. Year-end performance data see above statement no further installers have been added to central register by installers to date.

**Outcome 05 – More people in poor communities are in work and training**

**Owner – Paul Woodcock**

The target to achieve 9.5% gap or less in the out of work claimant rate between the 20% most deprived parts of the borough and the whole borough rate was missed. The gap reported in December 2012 is 10.1% with around 25% of the working age population in the more deprived areas claiming out of work benefits compared with 15% across the borough as a whole.

This outcome was the subject of a special report to the council's **Self-Regulation Commission** on March 28<sup>th</sup> 2013.

The report highlighted previous and current Council led initiatives or work with local partners and agencies to improve local employment and employability including:

- Job Centre Plus programmes
- The Work Programme –

- Skills Made Easy
- Rotherham Investment & Development Office (RiDO) initiatives
- Work Linking deprived areas and people to employment
- Talent Match (A Big Lottery programme targeting 18-24 year olds)

**Action:** To ensure that this outcome continues to be monitored closely to establish any further impact on the local community. Looking forward the council's Economic Development Team is developing a 'Growth Plan' for Rotherham which will contribute to council priorities for stimulating the local economy and helping people into work, nurturing local business and marketing Rotherham as an attractive business location.

**Priority 2 – Providing quality education; ensuring people have opportunities to improve skills, learn and get a job**

**Outcome 06 – More people have formal qualifications and skills**  
**Owner – Dorothy Smith**

As previously reported the Key Stage 2 final National Curriculum Assessment results for 2012 were published on the 13<sup>th</sup> December. The Key Stage 4 final results for 2012 were published on the 24th January 2013. The data below was published on the DfE research and statistics website.

## EYFS

- Rotherham's performance has been above both the national and Yorkshire and Humberside averages in 2010, and above regionally in 2011. In 2012, performance at this level has increased by 1.7% to 60%. However, national averages increased by 5%. The gap to the national average is 4%.

## Key Stage 2 outcomes for Rotherham improved in all indicators 2011-2012

- Key Stage 2 L4+ English & mathematics combined increased by 5.7% to 75%. National averages increased by 5% to 79%. The gap to national averages was reduced by 1% but remains too wide at 4%.
- KS1-KS2 progress measures in English increased by 6% to 86%. National averages increased by 5% to 89%. The gap to national averages was reduced to 3%.
- KS1-KS2 progress measures in mathematics increased by 5% to 84%. National averages increased by 4% to 87%. The gap to the national average was reduced to 3%.

## Key Stage 4 outcomes for Rotherham improved in all indicators 2011-2012

- 5+A\*-C including English and mathematics increased by 3.3% to 60% against a increase in the national averages of 0.5% to 59.4% Rotherham LA average is 0.6% above the national average. Rotherham averages have exceeded the national average for the first time for this threshold.
- The 5+A\*-C indicator increased by 2.1% against a national average increase of 2.3%. 83.9% of pupils achieved 5+A\*-C against a national average 81.8%. Rotherham LA average is 2.1% above the national average. This is the second year Rotherham averages have exceeded national averages for this threshold.
- KS2-KS4 progress by 3 levels in English remained at 73%. National averages declined by 3.8% to 68.0%. The Rotherham average is 5% above the national average in 2012.
- KS2-KS4 progress by 3 levels in mathematics increased by 6.9% to 66.9%. National averages increased by 3.9% to 68.7%. Rotherham has reduced the gap to national averages to 1.8%.

Results for 2013 are currently being validated and will feature in a future report.



**Outcome 07 – There are more successful new businesses**  
**Owner – Paul Woodcock**

There is on-going activity promoting and encouraging the creation of new businesses in Rotherham. In May the Council Rotherham has won a national award of 268,000 from the Government's High Street Renewal Fund in recognition of recent achievement attracting new retail businesses to the town centre.

All key indicators concerned with numbers and survival rates of businesses are currently assessed green.

**Outcome 08 – More people come to the town centre for work, shopping and things to do and see**  
**Owner – Paul Woodcock**

Council surveys have recorded increased foot flow in the town centre. 2012/13 quarterly estimates between 4% and 9% are above the equivalent for quarters in 2011/12. Foot flow has particularly increased in the college street area following the opening of Discount UK, Greenwoods and the relocation of Internationale.

As previously reported The Association of Town Centre Management (ATCM) Springboard National High Street Index indicates that positive foot flow in Rotherham town centre is increasing against national and regional trends. Figures for July 2012, for example, indicated a local 4.1% annual increase compared to a regional (North and Yorkshire) decline of -2.4% and a national (All Towns and Cities) decline of -7.3%.

**Outcome 09 – More people are in work or training and less are living on benefits**  
**Owner – Paul Woodcock**

The Rotherham out of work claimant rate has stabilised at around 15% of the working age population. The current 2.4% gap against the regional rate of 13% is within the target range to achieve a gap of 2.5%.

Data for year ended December 2012 indicates the overall employment rate for Rotherham actually improved by 0.3% but the gap to the region widened slightly from 0.8% to 0.9%. The target to achieve a 0.5% gap to the regional (Yorks & Humber) average was missed.

The Amber rating takes into consideration the overall positive direction of travel achieved since March 2012 with the gap against the region closing (this was recorded as -.5% in March 2012) and the stabilisation of the claimant rate.

**ACTION:** To ensure that this outcome continues to be monitored closely to establish any further impact on the local community. Looking forward the council's Economic Development Team is developing a 'Growth Plan' for Rotherham which will contribute to council priorities for stimulating the local economy and helping people into work, nurturing local business and marketing Rotherham as an attractive business location.

**Outcome 10 – All 16-19 year olds are in employment, education and training**  
**Owner – Dorothy Smith**

The three month rolling average outturn for 2012-13 was 7.4%, just 0.3% below the target of 7.1%. Rotherham has increasing numbers of academic age year 13 NEET, the majority of who have finished one year learning programmes and not progressed into employment.

Rotherham has developed new working practices to address the NEET issue as below:

- The IYSS has restructured into locality teams to provide a closer relationship with schools and to work with young people NEET more locally. Each school and college has a designated worker for transition working with the most vulnerable young people identified through the Risk of NEET Indicator. The whole cohort are supported beyond transition by the locality team so if a young person is at risk of or drops out of learning they can be offered support from a local team through accessing their local IYS centre.

- Developed targeted support teams through ESF and Youth contract to work with the most vulnerable young people NEET across the borough
- Work with our post 16 providers to reflect upon the current offer for Rotherham learners and to develop new opportunities for the hardest to place.

The 3 month average position of 7.7 % for 2013/14 Q1 shows an increase from the 7.5% return for the same period in 2012/13. This increase can be explained in part by the change to counting mechanisms enforced by DoE in April 2013. This change means that NEET destinations no longer have a currency attached to them, and therefore the adjustment factor of the NEET % has also changed. In Q1 of 2012/13 Rotherham showed an average of 71 young people lapsed from NEET, of which 42% would have been assumed to be EET. This assumption is no longer made and therefore all are included in the NEET count.

The average number of academic age 16 -18 NEET for Q1 is 725 (compared to 665 for the same period last year which is an increase of 60). This breaks down to actual age figures of:

- 40 16 year olds (6%)
- 215 17 year olds (30%)
- 278 18 year olds (38%)
- 192 19 year olds (26%).

This breakdown demonstrates that 64% of the NEET cohort is aged 18+ - a group that prove hard to engage with and primarily will meet with JC+.

The number of actual age 16-18 NEET at the end of June 2013 is 503 compared to 495 for the same period in 2012, although again the largest element of this group are 18 (270 or 54%). The numbers of actual age 16 and 17 year olds has reduced from the same period last year by 32.

The June 2013 figure for Not Known stands at 4.0% which is a significant reduction on last year's figure of 5.1%.

The mean for statistical neighbours NEET at the end of June 13 matched that of Rotherham's at 7.6%. The June 13 figure for Rotherham Not Known stands at 4.0% which is significantly lower than that for statistical neighbours which stands at 7.9%. This demonstrates the significant efforts made by Rotherham to ensure that destination data for our cohorts is as robust and current as it can be.

### **Outcome 11 – Babies and pre-school children with a good start in life Owner – Dorothy Smith**

Outcomes of Children Centre Ofsted inspections January – March 2013 show that Rotherham's performance (Good or better) for 11 Children's Centres remained at 90.9% however current performance shows that 16 Children Centre's have been inspected and the percentage of good or better has increased to 93.8%. This is well above the national average of 69%\* and the statistical neighbour average of 64.1% in the same period when Children Centres inspections were first implemented.

The profile of child-minder inspection shows a continued improvement on baseline albeit a slight drop in this report, and as at the 19<sup>th</sup> of June this stands at 67.2% good or better up from 56% in August 2011.

Of all 3 & 4 year olds in Rotherham 98% are benefiting from an early education place prior to starting full-time school. This is a year on year increase of 2% since 2010 and above the England Average of 96%.

The 2012-13 year end performance statistics show that a total of 23,416 children have registered with Rotherham's Imagination Library since the scheme was launched in 2007. 13,945 children were receiving books each month (as of March 2013) which is 87% of Rotherham's under-five population. 9,472 children have now 'graduated' i.e. reached the age of five and automatically left the scheme.



**Outcome 12 – More higher paid jobs**

**Owner – Paul Woodcock**

This data is published annually so there is no change to the position report for the 3rd quarter. At December 2012 Median average earnings in Rotherham were £465.80 which was above the target of £460.00 and above the regional average of £464. 70. This outturn is positive.

The measure is based the latest national Annual Survey of Hours and Earnings (ASHE) which can be subject to large fluctuations year on year due to sample sizes used. Subsequent ONS Annual Survey of Hours and Earnings (ASHE) surveys will confirm whether current data is indicative of a long term trend.

**Priority 3 – Ensuring care and protection are available for those people who need it most**

**Outcome 13 – All children in Rotherham are safe**

**Owner – Clair Pyper**

Inspectors from OFSTED came in to inspect our Fostering Service from lunchtime on Monday 1<sup>st</sup> July through to Thursday of that week. The Fostering service was well-prepared for the inspection and hopes to be able to demonstrate many areas of good practice when the report becomes public at the end of August. Early signs are that it was a productive and positive week with feedback reporting that children in foster care in Rotherham feel safe.

A Performance and Quality Improvement plan is in place to address the indicators of highest concern (Assessments, Stability of Placements and Adoptions). However this is now to be expanded to include findings from quality assurance activity, key inspection preparation requirements and customer feedback.

Due to recent national and local developments a review of the performance information currently included within its Performance Management Framework has been initiated. The aims of this would be to;

- Bring measures in line with the DfE safeguarding performance information dataset
- Delete old NI's which are no longer meaningful and not included within the above dataset. Or consider amending them to be more meaningful locally.
- Include Early Help and other emerging key issues (legal, runaways, CSE etc)
- Ensure the framework is inclusive of the whole child's journey
- Incorporate quality assurance, customer feedback and child's voice measures
- Establish clearer lines and levels of accountability
- Establish targets for all agreed key measures

Ofsted will be launching their new framework for inspecting Childrens services in September. This will include Child Protection, Early Help, Looked after Children (including Adoption and Fostering) and Leaving Care. It is envisaged that inspections will commence in the late autumn. Work is now underway to prepare for this extensive new framework.

**Outcome 14 – Vulnerable people are protected from abuse**

**Owner – Shona McFarlane**

Based on South Yorkshire Police statistics, repeat incidents of domestic abuse have an outturn of 21% for year-end. This represents good performance and achieves the re-aligned target (following receipt of definition interpretation clarifications) and is 7% lower than the national average for our type of authority.

Performance for Adults receiving a social care review of their care/support plan during the year had an outturn of 93.1% meeting 93% target. This is equivalent to close to maximum possible score when assessed against numbers of service users who we were eligible to be reviewed in year, national analysis now shows us 20% above comparators.

Safeguarding alerts allocated to a manager within 24 hours had an outturn of 100%, meeting 99% year-end target. Through continuing to respond quickly to alerts we are helping people to feel safe. We have reported improvements through the user survey results for 2013 across both ASCOF measures regarding customer's perception of 'feeling safe'.

A review of the Safeguarding Service began in December 2012 Work took place to review all open safeguarding cases and to streamline the process, to reduce length of time for investigations. The Safeguarding team has been supported by the Performance & Quality team to successfully performance manage the volume of through put and recording, with significant improvements being evidenced.

Seven of the eight Rotherham MBC Care Quality Commission registered service providers have been inspected during 2012/13 and have been found to be meeting their essential minimum standards and assessed (or re-assessed in year) as fully compliant. NAS continue to support all services via their on-going Quality Assurance programme and have supported for example CQC registered services, found not fully compliant on initial inspection to achieve full compliance on re-inspection (e.g. Quarry Hill and Netherfield Court). Non-registered services have also been supported to achieve improvements e.g. Rothercare.

### **Outcome 15 – People in need of support and care have more choice and control to help them live at home** **Owner – Shona McFarlane**

The user experience survey which provides insight into how much service users feel they have choice and control to live at home, has shown improvements from the 12/13 survey across six of the national ASCOF measures and in particular the following for outcome 15.

Benchmarking against last year's 11/12 survey results we have seen the following:

- Social care related quality of life - 19.2 achieving top quartile nationally and has improved from 19.1,
- How much control customers have over their daily life - 81.8% achieving top quartile nationally and improved from 76.7% and ranking up to 2<sup>nd</sup> from 8<sup>th</sup> in Y&H region.
- Overall satisfaction with the care and support services customers receive - 73.3% achieving top quartile nationally and improved from 72.5%, however lost top Y&H ranking falling to 2<sup>nd</sup>, due to a reported 89.8 (outlier score) submitted by one council.

The number of customers being supported through personalisation has continued to show excellent results in Rotherham with the national measure ASCOF 1C(1) "people in receipt of self-directed support (SDS)" has improved to an outturn of 80.14%. This smashes the national target and we are well ahead of our comparator authorities who range between 52% and 59%. This shows more people are able to make choices and organise their support to best meet their needs. This can be evidenced in the significant improvements that have also been seen in relation to the number of people accessing SDS via a direct payment which out turned at 16.07% to enable them to retain or pick the provider of their choice, up from 10.3% last year. This national benchmarked performance shows Rotherham better than Y&H and CIPFA comparators.

Actions to provide alternative community based services, which include our home enabling service, increased capacity of step up / step down beds and better use of Assistive Technology to enable customers to have greater choice and control have had a positive impact, with 78 fewer admissions to residential care for those aged over 65 (69 fewer) and those aged 18-64 (9 fewer) when compared to last year.

**Outcome 16 – People in need get help earlier, before reaching crisis**

**Owner – Shona McFarlane / Clair Pyper**

Step improvement reported on the proportion of Adult Social Care new assessments completed within 28 days, 93.72% achieved by outturn, improving significantly on previous year score of 83.21% and reflects excellent performance. This outturn moves Rotherham's Yorkshire & Humber ranking up from 7<sup>th</sup> to 1<sup>st</sup> of 15 based on the last available national 11/12 benchmarking data (no longer collected).

Similarly, performance in respect of proportion of adult social care packages of care in place within 28 days had an outturn of 97.6%, achieving 97.5% target. Ensuring timely provision of services to meet customer's assessed needs.

Early Help provision in Children & Young People's services continues to expand. An Early Help Assessment Team has been established, to provide a mechanism for coordinating support where contacts have been made to social care but the threshold for statutory intervention has not been met. As of July 2013, 175 Family CAFs are open providing support to 486 children. Performance targets are currently being set by the Local Authority for measuring the effectiveness of Early Help, and these will be monitored on a regular basis and fed into the Local Safeguarding Children Board as part of revised statutory duties following the publication work Working Together 2013. A cycle of quality assurance of Family CAF is also being established, to ensure that the quality of assessment and planning is suitably high and matched to achievable outcomes.

Access routes to Rotherham's Family Recovery Programme have been re-allocated earlier in the continuum of need to ensure that intensive whole family work is targeted at vulnerable families before needs escalate to child protection thresholds. Department for Education grant funding has also been awarded to scope out the potential for developing Multi-Systemic Therapy provision in the borough, which should help reduce the requirement to place children and young people outside their family environment.

Targeted early help via the Troubled Families initiative, has seen successful payment results drawn down for 88 families in July 2013, and this is forecast to almost double by the next claim window (October 2013). Engagement figures are in line with Department for Communities and Local Government targets, and additional services have been commissioned to improve support to families. Work with EU migrant families in Rotherham has been aligned to the work of the Families for Change delivery plan (Rotherham's local name for delivery of the Troubled Families programme), and one of the seven Families for Change Coordinator posts has been appointed to with a requirement for language skills and experience of working with the Roma peoples. This work is one component of the Community Cohesion team led Roma Matrix project.

**Outcome 17 – Carers get the help and support they need**

**Owner – Shona McFarlane**

Performance on services for carer's achieves 42% target. Outturn of 42.02% will keep Rotherham in top four Yorkshire & Humberside ranking (based on available national 2011/12 data) for providing advice and support for carers. The 2012/13 national Carer's survey results, show improvements in like for like results when compared to the last survey results from 2009/10, including :

Carer reported quality of life. (8.8) – The results were excellent and a substantial improvement on the previous survey. This has improved on 2009/10 score of 8.4 and achieves 12/13 ranking of 2<sup>nd</sup> in Y&H region and top quartile nationally. The score is based on the answers to 5 questions in the survey, including questions asking how much choice and control a carer has over their daily life, do they feel safe and social contact. We scored particularly for the questions relating to choice and control, carers in Rotherham feel that they exercise a substantial amount of control over their daily lives and are doing the things that they most value and enjoy.

Overall how satisfied are you with the support or services you and the person you care for have received from Social Services in the last 12 months? (56.51%) – This has improved on 2009/10 score of 55.17%. and achieves 12/13 ranking of 1st in Y&H region and top quartile nationally. Again these are excellent

results for Rotherham; the score is based on those who are extremely or very satisfied. There were only 3% of carers who indicated that they were dissatisfied. Further context for these results is that the average score for England is 42.7%.

In the last 12 months, do you feel you have been involved or consulted as much as you wanted to be, in discussions about the support or services provided to the person you care for? (81.68%) – this measure compares to 2009/10 score of 81.88% and achieves 12/13 ranking of 3<sup>rd</sup> in Y&H region. Again these are very positive results for Rotherham; the average in England is 72.8%.

In the last 12 months, have you found it easy or difficult to find information and advice about support, services or benefits? (Combined service user and carers score 80.8%) – This has improved on 2009/10 score of 75.00% and achieves 12/13 ranking of 3<sup>rd</sup> in Y&H region and top quartile nationally. These results reflect the improvements in this area over the last three years, the availability of information in all formats, and through the assessment process. It also gives an indication of the gains made in this area by Carers Corner, underlining its achievements for carers in Rotherham.

We have launched a Carers Charter in 2012/13 which includes our partner agreed 4 priority themed areas that were validated and ranked by the public during carers' week 2012. One theme supports carers to access a range of flexible services that are appropriate to their needs; as a result we reviewed our services and are increasing the range of services we offer via our carers centre. These actions will improve the overall performance indicator but we estimate will also allow us to increase the proportion of 'services provided' (rather than information and advice provided) performance by 13/14; which has been highlighted as an area for development from our sector led improvement assessment.

### **Priority 4 – Helping create safe and healthy communities**

#### **Outcome 18 – People feel safe where they live Owner – Dave Richmond**

The 2012/13 target for % of ASB cases closed or resolved was 93%. Improved performance in this area resulted in a year end 'out-turn' of 95.8%.

Every Area Assembly area now has a dedicated, named ASB officer working closely with Housing Champions and local Police resulting in an improved joined up approach and previously stated improved performance.

During 2012/13, ASB and most categories of reported crime were significantly reduced compared to 2011/12.

The Adult Social Care User Survey in 2012/13 underlines these improvements. More Adult Social Care service users felt safe that in the previous years the survey has taken place. In 2011-12 60.7% of customers said they felt safe, this has risen to 67.4% in 2012-13.

A joint RMBC/South Yorkshire Police Vulnerable Persons Unit has been established, currently based at Main Street Police Station.

#### **Outcome 19 – Anti-social behaviour and crime is reduced Owner – Dave Richmond**

During 2012/13, Serious Acquisitive Crime overall reduced by 4% (387 offences) compared to 2011/12. Although significant improvement had been made towards the end of the year, the target for violent crime was not achieved, ending at +3% (58 more offences) compared to 2011/12.

Analysis indicates that the vast majority of offences of violence that affected this indicator were classed as 'violence without injury' Although not achieving the target, Rotherham was the third best performing Community Safety Partnership of its most similar group of 13 partnerships.

The Criminal Damage target was achieved - 8% reduction (267 fewer offences) compared to 2011/12 Anti-Social Behaviour' incidents reduced by 20% compared to 2011/12. (3337 fewer reported incidents)

Housing Services in conjunction with Performance and Quality continue to actively resolve risks and support the operational management arrangements with regard to ASB casework management.

The number of ASB Housing long standing cases has reduced by more than 50% during the last 6 months of the year. The percentage of ASB cases resolved was 95.45% at the end of March 2013 against a target of 93%.

### **Outcome 20 – People are able to live in decent affordable houses of their choice Owner – Dave Richmond**

The total number of affordable homes delivered across the borough was 104 in 2012/13. This figure is lower than in the previous year.

Build rates have slowed considerably since 2008 due to a lack of buyers for new homes and some developments being "mothballed". Whilst the number of planning applications has increased over the past year although they have not reached the levels of 2006, when the greatest number of applications were submitted.

Nationally, since the introduction of the government's austerity measures in 2010 there has been a 66% reduction in the level of grant available for Affordable Housing schemes. This percentage decrease has been reflected in Rotherham's delivery of grant funded units including National Affordable Housing Programme, Specialist housing units, Kick-start funding and Local Authority New Build.

In line with our strategic objectives we have purchased more new homes from a developer to add to the Council's stock and there are more strategic Acquisitions in the pipeline.

As at 31<sup>st</sup> March 2013, a total of 106 properties have been made decent through the internal refurbishment programme and as such the percentage of non-decent properties reported at 0.0%.

In regard to the Repairs and Maintenance Partnership all indicators achieved their year-end performance targets, the best ever performance in this area. The customer satisfaction cumulative year end outturn level was high at 99.57% and more repairs have been completed right first time with over 99% carried out within the target timelines.

The number of voids coming through the service reached a year-end total of 1727; this compares to 1793 last year. The year-end re-let time for Empty Homes was 28.89 days which was within the revised target of 28 days. A dramatic decrease from 2011/12 figure of 39.49.

Ongoing improvement initiatives include:

- Revising the property standard – and Price Per Void Property (PPV)
- Introducing a void utilities management service for gas and electric
- Forward dating of tenancies to improve the customer journey and minimise rent allowances
- Introducing multiple viewings for hard to LET properties

Some of these initiatives until fully introduced could affect on the re-let time – however this will be countered by having a positive impact on the customer journey and drastically reduce the amount of rent allowances provided, saving on council expenditure.

### **Outcome 21 – More people are physically active and have a healthy way of life Owner – John Radford**

Active People Survey 6 published its findings in December 2012. The reported 20% was slightly down on last year but was not statistically significant (i.e. was within the margin of statistical error). This indicates that participation rates in Rotherham are static.



The Healthy Weight Management Framework continues to provide a range of services for children and adults to reduce overweight and obesity. A national conference was held in Rotherham in January 2013 to showcase the services.

Based on the data, Rotherham has made good progress between 2010-11 and 2011-12:

For 4-5 year olds (Reception year) percentages for 2011-12 are lower than Yorkshire & Humber and England for both % Obese and for % Excess Weight

Rotherham now ranks as 4th best of 326 local authorities for Overweight and Obese combined (34th best for Obese alone)

Rotherham percentages are also statistically significantly lower than England.

For 10-11 year olds (Year 6) percentages for 2011-12 are higher than Yorkshire & Humber and England for % Obese but lower for % Excess Weight

Rotherham has improved its rank for both % Obese and % Overweight and Obese combined. It now fits in the middle quintile for % Overweight and Obese (but in the second worst for % Obese alone).

Rotherham percentages are now (2011-12) not statistically significantly different to England for both % Obese and % Overweight and Obese.

### **Outcome 22 – People from different backgrounds get on well together Owner – Dave Richmond**

Life Style Survey – This is an annual survey for Year 7 and Year 10 pupils. The number percentage of pupils who have been bullied because of race/disability/sexuality shows a rise from 10% in 2011 to 15% in 2012.

Initiatives being developed to help tackle bullying in schools include;

- Schools have signed the national Anti-Bullying charter
- Anti-bullying strategies and toolkit in schools
- An Anti-Bullying Standard that 49 schools have signed up to work towards. To date 2 schools have achieved Bronze standard, 6 Silver standard and 7 gold standard

Hate Crime and Incidents - There have been 51 incidents of Hate Crime recorded in quarter 1, 40 in quarter 2, 39 in quarter 3, and 30 in quarter 4 which were classed as “criminal offence” by South Yorkshire Police (SYP). This represents a total to date this year of 160.

In addition 174 incidents were reported in Rotherham schools and a further 16 incidents through council directorates over quarters 1, 2, 3 and 4 of 2012/13.

In response to the Equality and Human Rights Commission report “Hidden in Plain Sight” on disability related hate crime, the publication of the Government’s Plan to “Tackle Hate Crime, Challenge it, Report it, Stop it”, and the development of a National Hate Crime Strategy, the Council and SYP have reviewed our approach and a dedicated “Vulnerable Persons Unit” has been established from existing staffing resources.

Based within Main Street Police Station this is a multi-agency team aims to improve the coordination of all strands of work around vulnerable individuals (intelligence, prevention, intervention and enforcement), including those subject to ASB, hate crime, domestic violence, mental health, sexual exploitation, community cohesion.

This new approach will lead to identifying and intervening much earlier in many of these risk areas to prevent escalation of harm and increased demand.

**Outcome 23 – People enjoy parks, green spaces, sports leisure and cultural activities**  
**Owner – David Burton / Paul Woodcock**

Visits to libraries and sports facilities have an upward trend. Visits to the Civic Theatre were fewer than last year due to a six period of closure for refurbishment.

**Priority 5 – Improving the environment**

**Outcome 24 – Rotherham is prepared for present and future climate change**  
**Owner – Colin Earl**

Rotherham MBC; Bradford CC and University of Sheffield (UoS) are still collaborating in climate change adaptation measures, even though the previous bid for EU funding was unsuccessful. An additional bid for EU funding is being reviewed.

The Adaptation gap analysis is complete and the subsequent report has been submitted to Environment and Climate Change Group/Asset Management Senior Management Team. As a result of the report an action plan will be developed to improve adaptation measures by the Adaptation Working Group.

The refreshed Environment and Climate Change Strategy and action plan was sanctioned by Cabinet in May 2013 and Cabinet agreed to sign up to Climate Local. Climate Local is an LGA initiative to drive, inspire and support Local Authorities to reduce carbon emissions and improve resilience to the effects of our changing climate.

**Outcome 25 – Clean Streets**  
**Owner – David Burton / Paul Woodcock**

Local surveys completed during 12/13 recorded levels of street cleanliness within the annual targets. This measure is therefore assessed Green

**Outcome 26 – Safe and well maintained roads**  
**A) Well maintained roads**  
**Owner – David Burton / Paul Woodcock**

Dept. of Transport benchmarking data for 11/12 suggests that the condition of Rotherham's Principal and Non Principal; roads is holding up well against national and regional averages. The overall Amber rating is due to a deterioration on the condition of unclassified roads which comprise the largest part of the borough's road network. The service is utilising surface treatments and targeting these on the unclassified network. They are also trying new innovations such as the "Multihog" for small patching works. They will continue to collect condition data, which will allow prioritisation of the limited resources available.

**Outcome 26 – Safe and well maintained roads**  
**B) Safer roads and casualty reduction**  
**Owner – David Burton / Paul Woodcock**

The key high level measures of trends in road traffic casualties are former national indicators 47 and 48. These indicators use an analysis of the numbers killed and seriously injured (KSI) presented as a rolling average over the preceding 5 calendar years (i.e. January – December).

The five year rolling average for all Rotherham KSI reported for 2012 was 84. This indicated a decline over the 90 reported for 2011. This represents a 7% reduction which meets the target for an annual decrease of 4%. Since 2009 the cumulative reduction has been 15.2%.

The five-year rolling average for children and young people (0-17yrs) KSI in Rotherham followed a similar pattern. This reduced from 17.8 in 2011 to 17.0 in 2012 thereby meeting the annual target for a 5% reduction, although the cumulative reduction since 2009 was 22.7%.

The current rolling average is however being skewed downwards due to the exceptionally low numbers of KSI reported during 2010. Recent trends in actual numbers of KSI are giving rise to concerns. 86 were reported for 2012 compared with 85 for 2011 and 59 for 2010. 19 KSI involving children and young people were reported for 2012 compared with 18 for 2011.

Analysis of 2012 road accident data found no specific concentrations KSI or accident blackspots. Ongoing actions to address road safety and reduce KSI's include school and college based road safety education and training initiatives, projects which aim to reduce the number of collisions involving young drivers and completing road safety audits on all proposed road schemes.

### **Outcome 27 – Reduce CO2 emissions and lower levels of air pollution** **Owner – Colin Earl**

CO2 emissions for RMBC building energy use and street lighting are reported annually at the end of July (in line with CRC reports and DEFRA Green House Gas Report) using verified data.

The annual target of 2% year on year reduction has been exceeded in 2012/13 achieving a 7.2% reduction on 2011/12. DEFRA have changed the conversion factors and categories so an exact comparison is not 100%, but it does give a good indication of the direction. Further changes between DECC & DEFRA are expected in the next 12 months. Numerous activities to reduce carbon emissions; reduce fuel consumption and therefore reduce costs continue.

### **Outcome 28 – More people are recycling** **Owner – David Burton**

Performance against *ex NI 192 % of household waste sent for reuse (recycling and composting)* declined during the second half of the year. This was primarily due to the ending of the Sterecycle contract as the company went into receivership.

The initial end of year 'stretch' target based on the on-going availability of Sterecycle was subsequently revised downwards from 57.6% to 42.15%. End year cumulative performance was 41.75%, just below the revised target.

Composting tonnages continue to show a marked improvement over last year (8.4% higher). Kerbside paper and card tonnage is almost exactly the same as last year (3 tonnes higher). However, Blue box figures (4.6% lower) and HWRC recycling figures (1.6% lower) are lower than in 2011/12. It is predicted that recycling tonnages may fall in coming years as there is evidence food and drinks manufacturers are using lighter materials for packaging and sales of newspapers decline as people increasingly turn to electronic media. These concerns will be reflected in target setting for 2013/14.

Third quarter performance against *Ex NI 193 % of municipal waste landfilled* was better than the target (cumulative performance to the end of March is 31.21%). This included waste being recycled and composted plus residual waste diverted away from landfill as part of the interim waste treatment and disposal contract prior to the demise of Sterecycle. The service has since been able to secure enough additional capacity at the Sheffield energy recovery facility to keep this indicator ahead of target

This outcome is overall rated amber due to the recycling outturn being only marginally below the revised target and on-going good performance against landfill targets. Work is still in progress to identify a suitable replacement for Sterecycle.

### **Outcome 29 – More people are walking, cycling or using public transport** **Owner – Paul Woodcock**

No change over the position reported for the third quarter. PTE surveys completed during November 2012 indicate around 24% of journeys being undertaken via more sustainable modes of public transport, cycling or walking. These findings indicate an on-going improvement over the 2010/11 baseline of 18-19%.



National data collection around journeys to school has ceased but locally collected data (CYPS 'Lifestyle Survey') found that the around 15% of pupils travel to school by car with the remaining 85% either walking (65%) or using various modes of public transport or cycling. Numbers walking increased to 73% for return journeys with 9% travelling home by car.

This outcome is therefore currently assessed as Green.

#### 7.4 High Level Outcome Measures

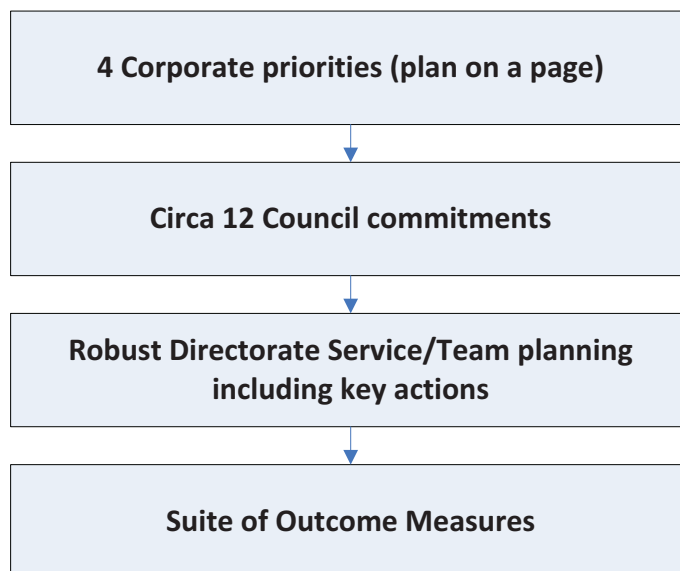
Performance is measured against agreed high level measures for each outcome. These are a combination of former statutory national indicators and local indicators.

#### 7.5 Developments

Since the last report developments with reporting against our priorities include:

- Work has begun on reviewing the Councils Corporate Priorities and a revised version of the Corporate Plan on a page has been developed and is currently undergoing a period of consultation.

As part of the refresh it is important to be able to ensure that robust performance management processes are in place and a clear golden thread is visible. This will be achievable by ensuring that the following is in place:



- Rotherham's Joint Health and Wellbeing Strategy is well into the implementation phase. The strategy includes six strategic priority themes, which are being delivered through a set of workstreams, each one having an identified lead officer from the council, public health and Clinical Commissioning Group (CCG). The six strategic leads have produced actions plans which demonstrate the work being delivered, or required, to meet the desired outcomes of the strategy. Each of the leads has attended the Health and Wellbeing Board (HWBB) individually to present their workstream plan, including what the issues are and current challenges, what work is being done locally to address the issues and how the HWBB can contribute to delivery of their plan.

Along with the six workstreams, the HWBB agreed six locally determined priorities, identified from the JSNA. A lead officer for each of the priorities has been attending the board to assist the members in considering the challenges being faced locally and how best to address them.

By October the HWBB will have received all presentations in relation to the workstreams and locally determined priorities, at this point an annual report will be produced to enable the board to evaluate and review progress of the strategy one year on.

- Cabinet Member, Strategic Director Leads and Area Coordinators have been identified for each of the eleven deprived neighbourhoods. Area Coordinators have developed rich pictures and action plans and significant progress continues to be made in all eleven neighbourhoods. In each area between 4 and 7 priority areas have been identified, and Coordinators are working corporately to ensure interagency commitment and progress on these priorities.

## 8. Finance

It is known that as a result of service reductions the Council's ability to deliver all the corporate plan objectives is a high risk. The potential for under performance as a result of budget reductions highlights the importance of integrating performance, risk and financial reporting. This emphasises the need for regular monitoring of team plans within Directorates and a potential revisit of the Corporate Plan priorities.

## 9. Risks and Uncertainties

Ongoing changes to national policy and funding continue to impact on delivering our outcomes and in some areas could further deteriorate performance. The rationalisation of the Government's performance regime has taken the focus away from the previously strong performance management culture within the organisation. Implementation of a revised performance outcome framework is required to reinstate embed performance management within the organisation. This involves ensuring targets for all corporate plan measures need to be firmly embedded and the reporting timetable is also adhered to.

## 10. Policy and Performance Agenda Implications

This report assesses the progress being made in delivering the outcomes of the key policy and performance agendas as set out in the Council's Corporate Plan. The current government's Welfare Reform proposals have been identified as certain to have a major impact on Council service delivery and on service users and residents. The key issues are set out below.

### Impact of the Government's Welfare Reforms and Local Response

The Government's Welfare Reform Act 2012 is now being implemented and further changes were made in the Autumn Statement in December 2012. Changes to welfare benefits have been affecting Rotherham residents for some time and Rotherham Partnership has been co-ordinating the local response from the Council, VAR, DWP and other partners. The scale of impact increased in April 2013 and continues to grow. The full impact of welfare reform will not be felt until 2015/16.

Using the methodology developed by CRESR at Sheffield Hallam University in April 2013, changes to the benefits system resulting from welfare reform are estimated to create a loss of £91 million per year by 2015/16. The impact will be greatest in the Borough's most deprived communities. In Rotherham 17.3% of the working age population live in the 11 most deprived neighbourhoods but they face 27% of the annual loss, estimated at £24.5 million lost as a result of welfare reform.

As previously reported, Welfare reform will also impact on a number of our corporate plan outcomes.

### Potential Impacts

Increased demand for Council Services – There is increased demand for support and advice from families affected by loss of income. Income generation is likely to be affected, particularly in those delivering 'afford to pay' services. The end of full council tax benefit in April 2013 is causing arrears to increase significantly which is expected to reduce income to the Council. External providers such as Citizens Advice and debt counselling services have seen a marked increase in the number of people in difficulty accessing their services.

Health – People's health is likely to suffer as a result of welfare changes. These may impact on lifestyles if lack of disposable income results in less exercise and poorer diet which are key mitigating factors for

issues such as heart disease and obesity. Being in work rather than on benefits can be beneficial to mental and physical health. However, being unable to find work and facing a squeeze in income is likely to exacerbate poor mental and physical health for workless people. There is a high risk that levels of stress, anxiety and depression will increase, particularly for disabled people.

Housing - Changes to Housing Benefit mean that many tenants are facing a reduction, notably due to changes in Local Housing Allowance and the application of size criteria to social housing ("bedroom tax"). Rent arrears are growing as a result and there is a growing risk of evictions or other legal action. A shortage of smaller council properties severely limits the options for those wishing to downsize and some may be forced into the private sector. The impending payment of Universal Credit direct to households is a further concern for all landlords with low income tenants. This change is expected to increase rent arrears and could lead to more evictions and homelessness.

Financial Exclusion - Low income households have long suffered from financial exclusion as they are not attractive to mainstream financial services and often have to pay more for services as a result. The squeeze on incomes resulting from welfare reform is causing demand for sub-prime (high interest) lending to rise and also growing use of pawnbrokers. Evidence suggests that levels of personal debt in low income households are rising as people borrow to pay bills, but this often leads to people being trapped in debt. A significant number of people do not have a bank account despite plans to pay benefits into such accounts.

Digital Exclusion - One element of welfare reform is a drive to move services online and to make application for benefits, notably Universal Credit, digital by default although there will always be some people who cannot access this method. People on low incomes are less likely than average to have access to the internet at home and may struggle to use online services if they are not familiar with them or have poor levels of literacy. Younger people are increasingly accessing the internet on small screen devices which are unsuitable for use in applying for benefits.

Crime – It is not possible to provide a direct correlation between economic conditions and crime, or how welfare changes directly contribute. Despite the worsening economic position between April 2009 and March 2011 overall crime in Rotherham fell by 11% and Serious Acquisitive Crime (including Domestic Burglary, Robbery and Vehicle Crime) fell by 15%. There is likely to be a time lag between rising hardship and crime levels. Overall crime Acquisitive offences showed increases in 2011/12 and small rises in 2012/13 including Burglary Dwelling (3%) and Burglary Other (4%). A particular concern is shoplifting which increased by 27.5% in 2012/13 and by 33% over the year to July 2013. Concerns have also been expressed about increased risk of domestic violence and abuse related to welfare reforms including Universal Credit being paid to one household member.

### Partnership Response

Rotherham Partnership has co-ordinated a strategic steering group and task group for welfare reform. These have overseen a range of actions taken to mitigate the impact of welfare reform on local people, including the following:

- Benefit Changes Leaflets and Booklets produced & distributed at events & locations to the public, staff & Members
- Staff Guides produced to assist front line staff
- Training of front line staff to raise awareness and signpost people
- Interim funding for advice services (2013) before Lottery funding started
- Development of a local council tax reduction scheme to limit payments by those on the lowest income to 8.5% of their council tax bill.
- Development of the Fund for Change to provide interest free loans, grants and crisis provision in place of the DWP Discretionary Social Fund
- Festive Food Fund interest free loans for food over Christmas
- Universal Credit Support Partnership being developed in anticipation of the launch of Universal Credit.

**11. Background Papers and Consultation**

The performance data contained within this report has been provided by Directorates following approval from their Directorate Management Teams.

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# Rotherham Council Corporate Plan 2013-16

## *Priority 1: Stimulating the local economy and helping local people into work*

- *We will use the council's buying power and influence to increase the use of the local supply chain and local labour*
- *We will market Rotherham as an attractive business location by investing in initiatives to promote business growth*
- *We will focus on lifelong learning to improve the qualifications, skills and economic wellbeing of children, young people and their families*

## *Priority 2: Protecting our most vulnerable people and families, enabling them to maximise their independence*

- *We will intervene early to prevent problems developing and protect children, young people, families and vulnerable adults from all forms of abuse, violence and neglect*
- *We will ensure that all adults in need of support and care get help early and have more choice and control to help them live at home*

## *Priority 3: Ensuring all areas of Rotherham are safe, clean and well maintained*

- *We will make sure that Rotherham's roads and footpaths are safe to use and that their condition is at least as good as the national average*
- *We will improve the quality of public spaces through better management of street cleansing and grounds maintenance*
- *We will reduce anti-social behaviour and crime and ensure people feel safe where they live*

## *Priority 4: Helping people to improve their health and wellbeing and reducing inequalities within the borough*

- *We will work with communities to deliver services that are tailored to local conditions*
- *We will respond quickly to people's needs, mitigating the effects of poverty and helping them to thrive*
- *We will ensure that people are able to live in decent affordable homes*

## *The way we will do business*

- *Talking and listening to all our customers and treating everyone fairly and with respect*
- *Supporting and enabling our communities to help themselves, whilst meeting the needs of the most vulnerable*
- *Getting it right first time, reducing bureaucracy and getting better value for money*
- *Working with partners to ensure people get the services and support they need as early as possible*
- *Having the right people, with the right skills, in the right place, at the right time*

Corporate Plan Priority: Making sure no community is left behind

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
1 - Fewer people are living in child poverty	Ex N1116	The overall proportion of children living in child poverty in Rotherham	Low is good	23.3% (2009)	Annually			22.6% (2010)			21.60%	N	R	↓	Historical issues around the 2 year time lag in publication of this data means that we are trying to develop more local measures which give a more timely picture. The latest data was published during November 2012 and shows the position at Summer 2010.
	LPI	% of children eligible for free school meals	Low is good	18.3% (2012 Spring Census)	Termly	19.90%	19.00%	19.40%	19.40%	19.4% (2013 Spring Census)	No Target Set	N/A	N/A	↔	Further work is taking place in relation to target setting and benchmarking for this LPI.
2 - Everyone can expect to live longer lives	LPI	Life Expectancy at birth (Males)	High is good	76.7 yrs (Nov 2010)	Annually	See comments.				Targets to be set following publication of Public Health Outcomes	N/A	N/A	N/A	Following revised population estimates, the current provisional data suggests the following : - The 3-year average 2009-2011 for life expectancy at birth is 77.8 years for males and 81.65 years for females (both provisional) - This is an increase of around 0.5 years for both males and females over the 2008-2010 figures of 77.3 years (males) and 81.2 years (females)	
	LPI	Life Expectancy at birth (Females)	High is good	80.7 yrs (Nov 2010)	Annually	See comments.					N/A	N/A	N/A		
3 - The gap in average earnings is reduced	LPI	Average earnings - % gap against Rotherham and the national average	Higher is better	89.8% of National average (Dec 2011)	Annually	See comments.				92.1% of the national average (Dec 2012)	90% of the national average	Y	G	↑	Performance is based on Annual Survey of Hours and Earning (ASHE) data published each December. The position is therefore unchanged over the third quarter. Median average earnings in Rotherham are currently 92.1% of the UK national average compared with 89.8% in 2011. Expressed as £'s this equates to local average weekly earnings of around £465.80 compared to around £505.90 nationally. Subsequent surveys will confirm whether this is indicative of a long term trend, though caution is advised with regards ASHE data which is prone to fluctuation.
	LPI	Average earnings - % gap against Rotherham and the regional average (Yorks & Humber)	Higher is better	97.2% - 2.5% gap (Dec 2011)	Annually	See comments.				100.2% of the regional (Yorkshire & Humber) average (Dec 2012)	0% gap	N	G	↑	The position is unchanged over the third quarter. According to the national Annual Survey of Hours and Earning (ASHE) at December 2012 Median average earnings in Rotherham were slightly above the Yorkshire and Humber average. The reported £465.80 equates to around 100.2% of Median average earnings across the region. This is an improvement over the 97.2% reported in December 2011. As stated above caution is advised with regards ASHE data which is prone to fluctuation. Subsequent surveys will confirm whether this is indicative of a long term trend.
4- Less people struggle to pay for heating and lighting costs	LPI	% energy saving per household from baseline position as at 1998	High is good		6 monthly	See comments					No target set	N/A	G	↑	Update on individual number of insulations carried out to lofts and external walls and savings detailed in performance report. CERT has now finished and all further works on council properties will be on normal contract. Of the 4000 properties left to be surveyed /installed 36 were installed with insulation as required. Under the Communities Obligation (CSCO) areas of the government's 'Energy Company Obligation (ECO)' will allow us to install a further 170 properties for free. 537 have been inspected and found to require no further insulation. After the removal of a few technical problems this leaves 3223 properties which for various reasons have not been installed.

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
5- More people in poor communities are in work and training	LPI	% of out of work benefit claimants in 20% most deprived (4Q average rates)	Lower is better	25.3% (May 2011) +9.7% gap with all borough rate	Quarterly	25.6% (Aug 2011) +10% gap compared with all borough rate	25.8% (Nov 2011) +10.2% gap to all borough rate	26% (Feb 2012) +10.3% gap compared with all borough rate	26.1% (May 2012) +10.3% gap compared with all borough rate	26.1% (May 2012) +10.3% gap compared with all borough rate of 15.8%	Gap of +9.5% gap compared with all borough rate	N	R	↓	Current employment data estimates that 26% of the Rotherham working age population who live within the in the top 20% of most deprived areas are claiming out-of-work benefits. The all Rotherham figure is 15.8%. The current gap to all borough is +10.3 percentage points which indicates that the gap with all Rotherham has widened slightly and is still outside the target range of +9.5% or lower. A paper was presented to the historical position for employment and worklessness in Rotherham, what support is currently available and identifying potential activity the Council could help to deliver.
	LPI	% of people from poor communities supported through a learning programme who have: <ul style="list-style-type: none"> <li>a) Obtained a formal qualification</li> <li>b) Progressed on working towards another level</li> <li>c) Obtained or got a better job</li> </ul>	Higher is better	Awaiting baseline data - available August 2011	Annually	Annual data - see comments				a) 41% b) 13% c) -	No target set	N/A	N/A	N/A	The figures relate only to learners who have taken part in learning delivered by RMBC with the support of the Adult Safeguarded Learning grant. This grant is designed to support first step and engagement activity. Total number of learners accessing courses supported by Adult Safeguarded Learning grant as of 25.04.13 is 1270 of which: a) 59 of the 143 learners who were on courses that lead to a qualification were successful (41% success rate). b) 164 of the 1270 learners have progressed towards another level (13% of total number of learners). We are in the process of developing systems to collect information on the number of learners who obtained or got a better job. These figures relate to the progress so far and any increase as further information is collected.

Corporate Plan Priority: Ensuring quality education for all, ensuring all people have opportunities to improve skills, learn and get a job

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
6 - More people have formal qualifications and skills	x NI72	Achievement of at least 78 points across the Early Years Foundation Stage with at least 6 in each of the scales in Personal Social and Emotional Development and Communication, Language and Literacy	High is good	58.3% (2011)	Annually			60% (2012)			54.8% (2012)	Y	G	↑	This indicator was 1% above the national average in 2010, 1% below the national average in 2011 and although there was an increase of 2% in 2012 national averages increased by 5% widening the gap to the national average to 4%. DfE ended collection of statutory targets in 2011.
	x NI73	KS2 attainment level 4 or above in English and Maths	High is good	69.3% (2011)	Annually			75% (2012)			79% (2012)	N	R	↑	This indicator has increased by 5.7% to 75% in 2012. National averages increased by 5% to 79%, the gap to the national average reduced by 1% to 4%. Challenge and support is detailed in the key stage 2 performance clinic briefing paper. DfE ended the collection of statutory targets in 2011.
	x NI75	GCSE 5+ A*-C including maths and english	High is good	56.7% (2011)	Annually			60% (2012)			58% (2012)	Y	G	↑	<ul style="list-style-type: none"> <li>5+A*-C including English and mathematics increased by 3.3% to 60% against an increase in the national averages of 0.5% to 59.4% in 2012. Rotherham LA average is 0.6% above the national average. Rotherham averages have exceeded the national average for the first time for this threshold.</li> <li>The 5+A*-C indicator increased by 2.1% against a national average increase of 2.3%. 83.9% of pupils achieved 5+A*-C against a national average 81.8%. Rotherham LA average is 2.1% above the national average. This is the second year Rotherham averages have exceeded national averages for this threshold.</li> </ul> DfE ended collection of statutory targets in 2011.
7 - There are more successful new businesses	x NI79	Proportion of population qualified to level 2 by the age of 19	High is good	76.4% (2010)	Annually			79.4% (2012)			N/A	N/A	A	↑	In 2012, L2 by 19 increased by 3.0% to 79.4% (the national average increased by 1.3% to 85.1%), this reduced the gap to the national average by 1.7% to 5.7%.
	x NI80	Proportion of population qualified to level 3 by the age of 19	High is good	45% (2010)	Annually			48.5% (2012)			N/A	N/A	R	↑	In 2012, L3 by 19 increased by to 3.5% to 48.5% (the national average increased by 1.2% to 57.9%), this reduced the gap to the national average by 2.3% to 9.4%.
	Ex NI171	The proportion of business registrations per 10,000 resident population aged 16 and above	Higher is better	30.6 (December 2010)	Annually	See comments				33.8 (December 2011)	N/A - context measure	N/A - context measure	G	↑	No change over the position reported for the third quarter. ONS Business Demography released Dec 2012 reflected the position in December 2011. This indicated an increase in registrations from 630 in 2010 to 705 in 2011 or 33.8 per 1,000 population. The Yorkshire and Humber rate also increased over the previous year from 38.4 to 40.2. Rated Green due to the positive direction of travel.



Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments	
	LPI	Overall number of business in Rotherham	Higher is better	5,295 (Sept 2011)	Annually		See comments			5,390 (2012)	N/A - context measure	N/A - context measure	G	↑	No change over the position reported for the third quarter. Data published December 2012 and reflecting the position in December 2011 calculated the overall number of businesses in the borough as 5,390. This is an improvement over the figure of 5,295 reported for September 2011 though still below the 5,445 reported for 2010. Rated Green due to the positive direction of travel.	
	LPI	Survival rate of business from incubation centres (3 years)	Higher is better	84% (March 2011)	6 monthly	-	85.5%	85.4	85.4%	85.4	85%	Y	G	↑	This measure demonstrates improved performance on the previous year and is currently meeting the year end target. Survival rates as at 31 January 2013 are as follows: Year 1 - 98.8%; Year 2 - 93.2%; Year 3 - 85.4%	
	LPI	% of newly born enterprises in the borough surviving a) 1 year b) 3 years c) 5 Years	Higher is better	a) 94.0% b) 57.2% c) 41.50% (December 2011)	Annually		See comments				a) 96.9% b) 58.6% c) 38.3% (December 2011)	N/A - context measure	N/A	N/A	N/A	No change over the position reported for the third quarter. Recent data (December 2012) indicates that 1 and 3 year survival rates had increased since 2011 but declined at 5 years.
8 - More people come to the town centre for work, shopping and things to do and see	LPI	% change on previous year in foot flow	Higher is better	9%	Quarterly	+9%	+4%	+8%	No additional surveys completed	N/A	+1%	Y	G	↑	Foot flow in December 2012 was 8% above the figure recorded in December 2011. Foot flow has increased particularly in the College Street area following the opening of Discount UK, Greenwood's and the relocation of Internationale.	
9 - More people are in work or training and less are living on benefits	Ex NI 152	Working age people claiming out of work benefits (4 quarter rolling average)	Lower is better	15.7% 2.7% gap above regional (Yorks & Humber) rate	Quarterly	15.6% = 2.4% gap above regional (Yorks & Humber) rate (Nov 2011)	15.7% = 2.4% gap above regional (Yorks & Humber) rate (Feb 2012)	15.8% = 2.4% gap above regional (Yorks & Humber) rate (May 2012)		N/A	No greater than a 2.5% gap above regional (Yorks & Humber) rate	N	G	↔	The Rotherham out of work claimant rate has stabilised at around 15% of the working age population. The current 2.5% gap against the regional rate of 13% is within the target range to achieve a gap of 2.5%.	
	Ex NI 151	Overall employment rate - % gap between Rotherham and the regional average	Higher is better	66.3 (-1.6% gap) (June 2011)	Quarterly	66.1% (Mar 2012 - 1.5% gap to region)	67.0% (-0.8% Gap to region) (March 2011)	67.8% (-0.9% Gap to region) (March 2012)	68.1% (-0.9% Gap to region) (December 2012)		N/A	Minus 0.5% gap to regional average	N	A	↓	Data for year ended December 2012 indicates the overall employment rate for Rotherham actually improved by 0.3% but the gap to the region widened slightly from 0.8% to 0.9%. The target to achieve a 0.5% gap to the regional (Yorks & Humber) average was missed. This Amber rating takes into consideration the overall positive direction of travel achieved since March 2012 which recorded a -1.5% gap. A paper was taken to the Self Regulation Select Commission on 28th March highlighting council actions and initiatives to reduce local unemployment.

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
10 - All 16-19 year olds are in employment education or training	Ex NI 117	% of young people aged 16-19 NEET	Low is good	7.6% (2011)	Quarterly	7.5%	7.2%	7.3%	7.60%	7.40%	7.1%	N	R	↓	The 3 month average position of 7.6 % for Q4 shows a reduction from the 7.9% return for the same period in 2011/12. The average number of academic age 16-18 NEET for this year is 690 (compared to 711 for the same period last year which is a slight reduction of 21). The number of actual age 16-18 NEET at the end of March is 536 compared to 584 for the same period in 2012. The March 2013 figure for Not Known stands at 4.0% which is a slight reduction on last year's figure of 4.5%. The mean for statistical neighbours NEET at the end of March 13 was slightly lower than that of Rotherham's at 7.5%. The March 13 figure for Not Knowns stands at 4.0% which is significantly lower than that for statistical neighbours which stands at 6.6%. This demonstrates the significant efforts made by Rotherham to ensure that destination data for our cohorts is as robust and current as it can be. The three month rolling average for 2012-13 (7.4%) was disappointing. Rotherham has increasing numbers of academic age year 13 NEET, the majority of whom have finished one year learning programmes and not progressed into employment.
11 - Babies and pre-school children with a good start in life	Ex NI 72	Achievement of at least 78 points across the Early Years Foundation Stage with at least 6 in each of the scales in Personal Social and Emotional Development and Communication, Language and Literacy	High is good	58.3% (2011)	Annually	60% (2012)					54.8% (2012)	Y	G	↑	This indicator was 1% above the national average in 2011, below the national average in 2011 and although there was an increase of 2% in 2012 national averages increased by 5% widening the gap to the national average to 4%. DFE ended collection of statutory targets in 2011.
	Ex NI 92	Narrowing the gap between the lowest achieving 20% in the Early Years Foundation Stage Profile and the rest	Low is good	33.7% (2010/11)	Annually			30.6% (2011/12)			32.2%	Y	G	↑	The gap was reduced in 2012 by 2.7%. This however, is 0.5% away from achieving the national average, but is achieving the local target and stat neigh. DFE have ceased to collect targets for EYFS outcomes. This measure will be replaced in 2013.
	LPI	Imagination Library: a) % of 0-5 yr olds in receipt of a book from the Imagination library b) Number of children in receipt of a book from the Imagination library	High is good	a) 85% b) 18,000	Monthly	-	a) 13,884 b) 13,867	a) 86% b) 13,867	a) 87% b) 13,867	a) 87% b) 13,867	a) 70%	Y	G	↑	87% of Rotherham's under-five population were receiving books each month (as of March 2013) which equates to 13,945 children.
12 - More higher paid jobs	Ex NI 166	Average earnings of employees in the area (workplace)	Higher is better	£453.80 (-2.5% gap compared to regional Yorks and Humber average) (Dec 2011)	Annually		See comments			£465.80	£460.00	N	G	↑	No change over the position reported for the 3rd quarter. Annual data published December 2012 indicated Median average earnings in Rotherham at £465.80 which was above the target of £460.00 and above the regional average of £464.70. This outcome is positive. Subsequent ONS Annual Survey of Hours and Earnings (ASHE) surveys will confirm whether this is indicative of a long term trend.

**Corporate Plan Priority: Ensuring care and protection are available for those people who need it most**

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
13 - All Children in Rotherham are protected from abuse	Ex NI 59	% of initial assessments for children's social care carried out within 10 working days of referral	High is good	86.6% (2011/12)	Monthly	92.3%	90.6%	88.0%	82.3%	78.20%	86.0%	N	A	↓	Drop in performance since previous year. Below statistical neighbour but above national averages.
	Ex NI 60	% of core assessments for children's social care that were carried out within 35 working days of their commencement	High is good	69.4% (2011/12)	Monthly	94.6%	89.8%	83.3%	71.1%	71.10%	75.1%	N	R	↑	Performance has improved on the previous year but remains below target and comparators.
	Ex NI 68	% of referrals to children's social care going onto initial assessment	High is good	93.9% (2011/12)	Monthly	89.8%	89.5%	90.7%	91.3%	91.90%	87.6%	Y	G	↓	Although there is a slight drop, performance is high and well above comparators.
	LPI	Serious case reviews	Low is good	2 rated adequate	Periodically							N/A	N/A	N/A	Although no target has been set for this measure it is an expectation that all serious case reviews are rated adequate or above.
14 - Vulnerable people are protected from abuse	LPI	Reduce repeat incidents of domestic abuse	Low is good	18.00%	Monthly	13.00%	20.00%	20.00%	21.00%	21.00%	Target revised at Q3	N	G	↓	Target revised at Q3 to reflect anticipated increase, but set well below good performance national average of 28%. This shows an increase that was anticipated by Domestic Abuse Priority Group (DAPG) due to a reminder issued to agencies about when a repeat should be referred back to Multi agency risk assessment conference (MARAC), robust procedures that have now been set in place and awareness raising that has been undertaken recently. This represents good performance as it is still 7% below the 'mature' country national average of 28%
	NAS 43	% of Safeguarding alerts allocated to a manager within 24 hours	High is good	99.67%	Monthly	95.94%	99.04%	94.89%	100%	100.00%	99.7%	Y	G	↑	
	NAS1	% of customer who received a review of their support plan during the year	High is good	93.07%	Monthly	29.16%	48.31%	72.01%	93.10%	93.10%	93%	Y	G	↑	
	ASCOF 1B	The proportion of those using social care who say they have control over their daily life	High is good	76.70%	Annually	Not applicable	Not applicable	Not applicable	81.84%	81.84%	-	Not applicable	G	Not applicable	
15 - People in need of support and care have more choice and control to help them live at home	Ex NI 130	Proportion of social care users who receive self directed support and those receiving direct payments	High is good	77.15%	Monthly	76.75%	77.65%	78.21%	80.14%	80.14%	80%	Y	G	↑	
	Ex NI132	New assessments completed within 28 days from first contact	High is good	83.21%	Monthly	94.75%	94.58%	94.97% unvalidated data	93.72%	93.72%	Target revised @ q3	N	G	↓	Target revised @ q3 following quality assurance work of unvalidated performance identified over reporting. Year end outturn shows step improvement overall (up over 10%). This improvement achieves top quartile in our comparator group and would also improve Y & H 2011/12 (last available national benchmarking data) ranking from 7 to 1 of 15.
16 - People in need get help earlier before reaching crisis															

Ex NI 133	Package of care in place within 28 days of assessments (Adults)	High is good	97.50%	Monthly	91.59%	95.52%	96.79%	97.60%	97.60%	97.60%	97.5%	Y	G	↑	
Ex NI 135	Number of carers receiving needs assessment or review and a specific carer's service, or advice and information	High is good	41.51%	Monthly	12.05%	18.77%	25.38%	42.02%	42.02%	42.02%	42%	Y	G	↑	

17 - Carers get the help and support they need

## Corporate Plan Priority: Helping create safe and healthy communities

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
18 - People feel safe where they live		Proportion of people who perceive high levels of ASB in their area.	Low is good	22.0%	Quarterly	2196	2413	2398	2339	9346 4% 387 offences	Maintain baseline position 9575	Y	G		2012/13 figures not available
		% of respondents who believe that the overall level of crime in their area is a big problem	Low is good	29%	Quarterly										2012/13 figures not available
19 - Anti-social behaviour and crime is reduced		Serious acquisitive crime a) Burglary b) Vehicle crime c) Theft from vehicle d) Theft of vehicle e) Robbery	Low is good	9575	Monthly	527	531	475	417	1950 +56 offences	Maintain baseline position	N	R	↓	During 2012/13 Serious Acquisitive Crime overall has reduced by 4% (387 offences) compared to 2011/12.
		Violent crime rate	Low is good	1894	Monthly	725	837	871	848	3281 8% 267 offences	Maintain baseline position	Y	G	↑	Although significant improvement has been made towards the end of the year, this target was not achieved. Analysis indicates that the vast majority of offences of violence that affected this indicator were classed as 'violence without injury'
		Criminal damage incidents within the borough	Low is good	3548	Monthly	4107	4698	3821	4061	16687 20% 3337 incidents	Maintain baseline position	Y	G	↑	Target achieved - 8% reduction (267 fewer offences) compared to 2011/12
		Recorded anti-social behaviour incidents	Low is good	20890	Monthly								Y	G	↑
20- People are able to live in decent affordable homes of their choice	Ex NI 154	Net Additional Homes	High is good	623	Quarterly							N	N/A		No figures provided to date for 2012/13
	Ex NI 155	Number of affordable homes delivered	High is good	166	Quarterly	59	18	23	3	104	104	Y	G	↑	Performance of numbers completed due to economic downturn
	Ex NI 158	% of non decent council homes	Low is good	0% (2010/11)	Quarterly	Not available	0.07%	0.03%	0.00%	0.00%	0%	Y	G	↑	Year end target achieved
	NAS 57	% of total repairs completed within target	High is good	97%	Monthly	96.27%	98.41%	98.63%	100.00%	99.78%	99%	Y	G	↑	This indicator exceeded the year end target • This has improved on last year's performance in 2011/12 of 96.95%
	NAS 54	% of responsive repairs completed right first time	High is good	95%	Monthly	94.50%	94.75%	97.49%	95.86%	95.39%	92%	Y	G	↑	The number of repairs completed has improved and performance exceeded year end target • Performance has improved on the year end outturn in 2011/12 of 93.57%
	NAS 58	% of responsive repairs where an appointment was made and kept	High is good	98.81%	Monthly	97.00%	97.99%	98.33%	99.56%	98.50%	98.50%	Y	G	↑	the indicator achieved the year end target • This has improved further on last year's performance in 2011/12 of 98.18%
	NAS 17	Average re-let times from termination to start	Low is good	48.84 days	Monthly	30.1	29.14	29.19	27.99	28.89	28Days	N	G	↓	The average relet time was brought within the 28 day target due to implementation of the actions identified within the previous qtr monitoring. Performance has significantly improved on the year end outturn in 2011/12 of 48.84 (lower is better)

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
21 - More people are physically active and have a healthy way of life	Ex NI 57	Children and young people's participation in sporting opportunities	High is good	93% (2010/11)	Annually		See Comments			97%	93%	Y	G	↑	109 Rotherham schools participated in a survey completed during May 2012 based on the former NI 57 questionnaire. The result indicates an improvement over 10/11. The survey data also indicated that during the same week around 35% of pupils participated in 'out of hours' schools physical activity.
	Ex NI 8	Adult participation in sport	High is good	21% (2010/11)	Annually		See Comments			20%	22%	N/A	A	N/A	Active People Survey 6 findings were published in December 2012 and previously summarised in the 3rd qtr report. The reported 20% was slightly down on last year but was not statistically significant (i.e. was within the margin of statistical error) indicating that participation rates in Rotherham are static.
	Ex NI 55a	Obesity prevalence among primary school children in reception	Low is good	10.5%	Annually		See Comments			6.8%	N/A	N/A	G	↑	For 4-5 year olds (Reception year) percentages for 2011-12 are lower than Yorkshire & Humber, and England for both % Obese and for % Excess Weight
	Ex NI 56a	Obesity prevalence among primary school children in year 6	Low is good	20.0%	Annually		See Comments			20.5%	N/A	N/A	R	↓	For 10-11 year olds (Year 6) percentages for 2011-12 are higher than Yorkshire & Humber, and England for % Obese but lower for % Excess Weight
	LPI	% of respondents worried about being subject to a physical attack because of their skin colour, ethnic origin or religion	Low is good	14% (2010/11)	Quarterly	11%	12.0%	15.00%	12%	13%	Reduction on 2011/12 baseline	Y	G		There have been 160 incidents of Hate Crime recorded year to date which were classed as "criminal offence" by South Yorkshire Police (SYP).
	LPI	Use of public libraries	High is good	959,982 visits / 111,506 online	Quarterly	Visits: 234,338 Online: 26,659	Visits: 242,788 Online: 19,885	Visits: 259,298 Online: 22,077	Visits: 200,479 Online: 23,145	Visits: 936,903 Online: 91,766	1% increase (by close of 2013/14 over position at close of 2010/11)	N/A	N/A	↓	The estimated 936,593 physical visits during April - March lower than the estimated 959,000 recorded for 2011-12. However, this included a period of closure during which the central Library site was transferred to Riverside House. Target is to achieve 1% above the 2010/11 outturn of 963,053 by 2013/14.
23 - People enjoy parks, green spaces, sports leisure and cultural activities	LPI	Visits to museums and galleries	High is good	Visits: 117,372 Online: 6,857	Quarterly	Visits: 18,384 Online: 1,496	Visits: 31,627 Online: 2,347	Visits: 10,887 Online: 1,832	Visits: 10,819 Online: 1,501	Visits: 71,717 Online: 7,176	1% increase (2013) (by close of 2013/14)	Y	N/A	↓	The target is to achieve 1% above the 2010/11 outturn by the close 2013/14. Service disruption following the closure of the former Arts Centre has had an impact on visitor numbers but it is hoped that basing the Archives service in Clifton Park Museum will boost visitor numbers. The steep decline in numbers between the 2nd and 3rd quarters is quite normal with visits normally at their highest during the summer months
	LPI	Visits to theatres	High is good	77,071 (Visits)	Quarterly	14,040	8,096	28,426	15,827	66,387	Maintain baseline by close of 2013/14	N	N/A	↓	The 2011/12 baseline has been adjusted down from over 77,000 to 72,000 to take account of the closure of the Studio Theatre and Arts Centre which normally accounted for around 5,000 visits per year. Overall visits to the Civic Theatre were down compared with 2011/12 due to a six week period of closure for refurbishment during the 4th quarter.
	LPI	Satisfaction with libraries	High is good	93% (2009)	Biennial	N/A	N/A	N/A	N/A	N/A	94% (2013 survey)	N/A	N/A	N/A	Position unchanged over the third quarter report. The next CIPFA Public Library Users Survey (PLUS) will be undertaken during 2013/14. In the interim cultural services are working with the EDS Performance and Quality Team to generate interim user satisfaction surveys as a proxy measure to the national survey.
	LPI	Usage of Sports and Leisure Facilities	High is good	1,081,189	Annual	288,305	277,726	250,625	281,758	1,098,414	1% increase over 11/12	Y	G	↑	Estimated annual number of visits to leisure facilities continues to increase

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
	LPI	Satisfaction with sport and leisure provision	High is good	93%	Biennial			See Comments		N/A	90%	N/A	G	N/A	No change over the 3rd quarter report. The outturn is based on surveys completed within each PFI facility every two years. The next round of surveys will be completed during 2013/14.

## Corporate Plan Priority: Improving the environment

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
24 - Planning to adapt climate change	LPI	Climate change matrix	High is good	Level 1 (2010/11)	Annually			See Comments			Level 2	N/A	A	N/A	Adaptation gap analysis complete and report submitted to Environment and Climate Change Group/Asset Management Senior Management Team. As a result of the report an action plan will be developed to improve adaptation measures.
	Ex NI 197	Improve local biodiversity	High is good	31%	Annually			See Comments		37%	34%	N/A	G	N/A	The outturn figure represents the % of local biodiversity sites under full or partial RMBC ownership with Management Plans as reported to DEFRA during 2012/13. Within the boundaries of RMBC there are 93 Local Wildlife Sites (LWS) of which 33 are under full or partial council ownership. There are 26 Regionally Important Geodiversity Sites (RIGS) of which 5 are under whole or partial council ownership. Of the total 38 sites with some Council ownership 14 (37%) currently have a management plan in place. Reduced resource has narrowed the scope for building relationships with private landowners and as a result work is now focused on the management of RMBC owned sites where we can be most effective.
25 - Clean Streets	Ex NI 195	The % of relevant land and highways that is assessed as having deposits of: a) Litter b) Debris c) Graffiti d) Fly tipping	Low is good	a) 9.9% b) 19.9% c) 0% d) 0%	Quarterly	a) 3.4% b) 16.3% c) 0% d) 0.0%	a) 4.1% b) 19.3% c) 0% d) 0%	a) 7.5% b) 15.6% c) 0% d) 0%	N/A	a) 5.0% b) 17.0% c) 0% d) 0%	a) <9.9% b) <19.9% c) 0% d) 0%	Y	G	N/A	The third tranche of 12/13 surveys recorded an increase in litter and debris but overall cumulative performance for the year was within target for NI 195. The service has opted to retain the NI 195 methodology for 13/14 assessments of levels of street cleanliness.
	26 - Safe and well maintained roads - a) Well Maintained Roads	Ex NI 168	Principal roads where maintenance should be considered	Low is good	4%	Annually	4%	4%	4%	3%	3%	Nat Avg - 6% Regional Avg - 5%	N/A	G	↑
Ex NI 169		Non principal classified roads where maintenance should be considered	Low is good	10%	Annually	10%	10%	10%	8%	8%	Nat Avg - 9% Regional Avg - 9%	N/A	G	↑	
Ex BVPI 224b		Condition of Unclassified Roads	Low is good	16%	Annually	16%	16%	17%	19%	19%	N/A	N/A	A	↓	
26 - Safe and well maintained roads b) Safer roads and casualty reduction	Ex NI 47	People killed or seriously injured in road traffic accidents	Low is good	90 (2011 five year rolling average)	Annually			See Comments		84 (7% reduction in the five year rolling average)	Year on year, a 4% reduction on the previous 5yr rolling average**	Y	G	↑	The road accident / killed and seriously injured (KSI) measures are reported annually for the period of each calendar year (Jan - Dec) as a 5 year rolling average. There has been a decline in the rolling average in recent years and both NI's met their 2012/13 reduction targets. It should be noted however that the current rolling averages have been pulled downwards by the unusually low number of accidents recorded during 2010 the position is therefore being closely monitored.
	Ex NI 48	Children killed or seriously injured in road traffic accidents	Low is good	17.8 (2011 five year rolling average)	Annually			See Comments		17 (5% reduction on the five year rolling average)	Year on year, a 5% reduction on the previous 5yr rolling average**	Y	G	↑	



Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
27 - Reduced CO2 emissions and lower levels of air pollution	LPI	CO2 reduction from local authority operations	Low is good	41,681 tonnes (2011/12)	Annually					7.2% reduction (38,719 tonnes)	2% annual reduction	Y	G	↑	2012/13 is a 7.2% reduction on 2011/12 exceeding HMBC 2% year on year reduction target. DEFRA have changed the conversion factors and categories so an exact comparison is not 100%. Further changes between DECC & DEFRA are expected.
	LPI	National Air Quality Strategy Measure - Annual average nitrogen dioxide in Rotherham (in mgm3)	Low is good	32 ug/m3 (2011 Calendar Year)	Annually					34 ug/m3 (2012 Calendar Year)	Target to be set for 2013/14	N/A	N/A	↓	This is an annual measure reported in March each year. 2010&11 were baseline years for this measure. Target to be set for 2013-14
28 - More people are recycling	Ex NI 192	% of household waste sent for reuse (recycling and composting)	High is good	50.83%	Quarterly	50.83%	48.77%	33.12%	29.50%	41.75%	42.15%	Y	A	↓	Cumulative performance of 41.75% was just below the target 42.15% and is therefore assessed as Amber. Composting tonnages continue to show a marked improvement over last year (8.4% higher). Kerbside paper and card tonnage is almost exactly the same as last year (3 tonnes higher). However, Blue box figures (4.6% lower) and HWRC recycling figures (1.6% lower) are worse than in 2011/12. Note that an initial annual target of 57.6% was a stretch target based recycling rates achievable through Sterecycle. The target was revised downwards after the second qtr due to Sterecycle going into receivership.
	Ex NI 193	% of municipal waste landfilled	Low is good	28.96%	Quarterly	22.22%	29.55%	37.68%	40.07%	31.21%	33.38%	Y	G	↑	Year-end performance is better than target (cumulative performance to the end of March is 31.21%). As well as the waste being recycled and composted, a significant amount of residual waste is diverted away from landfill as part of the interim waste treatment and disposal contract. Even though the Sterecycle contract has been terminated we have been able to secure enough additional capacity at the Sheffield energy recovery facility to keep this indicator close to target.
29 - More people are walking, cycling or using public transport	LPI	% of people captured in the modal survey travelling in / out of urban centres using public transport, cycling or walking	High is good	23% (2011)	Annually						24%	N/A	G	N/A	Based on survey data collected just once per year so therefore unchanged over position reported for the third quarter. Surveys completed during November 2012 indicated a small improvement of around 1% over 2011 with around 24% of journeys being undertaken via more sustainable modes including public transport, cycling or walking. The SYPTE commented that it is possible that as the economy has stabilised so have travel patterns. The current findings indicate an ongoing improvement over the 2010/11 baseline of 18-19%. This outcome measure is therefore currently assessed as Green.

Outcome	Indicator Ref	Indicator Title	Good Performance	11/12 Actual or baseline	Frequency of Reporting	Q1	Q2	Q3	Q4	Cumulative	Year End target	Target Y/N	Rating	DOT on previous quarter	Comments
	LPI	Mode share for journeys to school - % of people captured in the modal survey travelling to school using public transport, walking or cycling or any other mode excluding car	High is good	72.5% (2010)	Annually			See Comments			N/A	N/A	G	N/A	Position unchanged over the 3rd quarter. RMBC's own 'Lifestyle Survey' of young people found that the around 15% of pupils travel to school by car with the remaining 85% either walking (65%) or using various modes of public transport or cycling. Numbers walking increased to 73% for return journeys with 9% travelling home by car. Based on RMBC data this measure is therefore assessed as Green Government ceased collection against this indicator after 2010.

<b>ROTHERHAM BOROUGH COUNCIL – REPORT TO MEMBERS</b>
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<b>1</b>	<b>Meeting:</b>	<b>Self Regulation Select Commission</b>
<b>2</b>	<b>Date:</b>	<b>5th September, 2013</b>
<b>3</b>	<b>Title:</b>	<b>Revenue Budget Monitoring for the period ending 31st May 2013</b>
<b>4</b>	<b>Directorate:</b>	<b>Resources (for all)</b>

## 5 Summary

Since 2011 the funding cuts implemented by the Coalition Government have required the Council to make savings of over £70m; including £20.2m savings which the Council must deliver during 2013/14 to deliver a balanced outturn.

This report provides details of progress on the delivery of the Revenue Budget for 2013/14 based on performance for the first 2 months of the financial year. It is currently forecast that the Council will overspend against its Budget by £4.849m (+2.2%). The main reasons for the forecast overspend are:

- The continuing service demand and cost pressures for safeguarding vulnerable children across the Borough;
- Income pressures within Environment and Development Services;
- Demand pressures for Direct Payments, Older People's domiciliary care services and day care for clients with Learning Disabilities;
- Additional, one-off property costs relating to the continued rationalisation of the Council's asset portfolio as part of the efficiency drive to reduce operational costs; and
- Some savings targets are currently pending delivery in full in 2013/14.

**Although the current forecast revenue pressure is significant, through the implementation of appropriate management actions it should be possible to mitigate the forecast pressure and prevent it from becoming serious.** A strategy for addressing the forecast pressure is proposed within this report. This will ensure that the Council is able to deliver a balanced outturn and preserve its successful track record in managing both its in year financial performance and its overall financial resilience.

### Recommendations:-

**Self Regulation is asked to note the request to Cabinet to:-**

- **Note the current forecast outturn and significant financial challenge presented for the Council to deliver a balanced revenue budget for 2013/14 and;**
- **Agree, with immediate effect, the proposed strategy to bring spend in line with budget by 31<sup>st</sup> March, 2014.**

## 7.1 Proposals and Details

This report presents details of spending against budget by Directorate covering the first 2 months of the 2013/14 financial year – April 2013 to May 2013 – and forecast costs and income to 31<sup>st</sup> March 2014.

## 7.2 The Overall Position

Directorate/Service	Annual Budget 2013/14	Projected Outturn 2013/14	Variance after Actions (over+)/under(-) spend)	
	£'000	£'000	£'000	%
Children & Young People Services	47,242	48,140	+898	+1.9
Environment and Development Services	37,031	37,979	+948	+2.6
Neighbourhoods & Adult Services	72,558	74,090	+1,532	+2.1
Resources	26,615	27,205	+590	+2.2
Central Services	38,028	38,909	+881	+2.3
<b>TOTAL</b>	<b>221,474</b>	<b>226,323</b>	<b>+4,849</b>	<b>+2.2</b>
Housing Revenue Account (HRA)	73,090	73,090	-	-

Appendix 1 to this report provides a detailed explanation of the key areas of forecast over / underspend by Directorate. The summarised position for each Directorate is described below.

### **Children & Young People's Directorate (+£898k forecast overspend)**

The +£898k forecast overspend position is largely due to pressures within Safeguarding and Corporate Parenting Service. The number of looked after children requiring placements at the end of May 2013 was 390, a reduction of 2 since the end of March 2013.

Pressures on budgets for provision of Out of Authority Residential care (+£1.170m) and the provision of independent Foster Care placements (+£558k) are the main service pressures.

Forecast savings across other parts of the Directorate are helping to mitigate these key pressures. Details are shown in Appendix 1.

Children's Social Care services remain under pressure despite the services' proactive approach to drive down costs including:

- Continued operation and challenge by the Multi-Agency Support Panel

- Successful work undertaken by the Commissioning Team which has resulted in the commissioning and re-commissioning service provider contracts with significant cost reductions/cost avoidance (£329k) to date in 2013/14.

Children's Services continue to look for ways to reduce spend.

### **Environment & Development Services (+£948k forecast overspend)**

The Directorate is currently forecasting an overspend of +£948k largely due to pressures in Streetpride (+£562k) and Customer Services (+£200k). The forecast overspend assumes that the Winter Pressures budget is sufficient to contain costs incurred over the Winter months (2013/14). It should however be noted that in 2012/13 this budget overspent by £468k. Details of the forecast overspend are included in Appendix 1.

### **Neighbourhoods and Adult Services (+£1,532k forecast overspend)**

Overall the Directorate is forecasting an overspend of +£1.532m. Within this, Adult Services are forecasting an overspend (+£1.485m) and Neighbourhood services a forecast overspend of +£47k. Public Health Services are currently forecasting a balanced outturn. Key pressures include increased demand for Direct Payments (Older People, Physical & Sensory Disability and Mental Health clients), Older People's domiciliary care, and day care provision for clients with Learning Disabilities. The forecast position for Neighbourhoods and Adult Services is made up of a number of under and overspends, detailed in Appendix 1.

### **Resources Directorate (+£590k forecast overspend)**

Overall the Directorate is forecasting an overspend of +£590k. The pressures are in respect of the Council's Land Bank within Asset Management services due to the need to keep secure properties which have been vacated until they are sold or demolished.

### **Central Services (+£881k forecast overspend)**

In setting the 2013/14 Budget, the Council proposed a savings target of £300k in respect of renegotiating Staff Terms and Conditions. Options for progressing this saving have been considered and rejected by the Unions. This target currently remains undelivered.

The Council also set a savings target of £341k to be delivered from the 'Critical Friend Review of Front-line Services'. To date £147k of this has been delivered, the balance (£194k) is still to be identified.

When the 2012/13 budget was agreed it included a £2m savings target for Commissioning Savings. Currently £387k of that target remains to be delivered. Progress against delivery of this balance will be reported in future Cabinet budget monitoring reports.

### **7.3 Housing Revenue Account (HRA) (Forecast balanced outturn)**

At this stage of the financial year the Housing Revenue Account is forecasting a balanced outturn.

### **7.4 Proposed Strategy to address the forecast overspend**

Cabinet is asked to support a strategy to address the 2013/14 forecast pressure of £4.849m. This proposed strategy consists of 3 stages and incorporates key principles of increasing income, controlling costs and managing demand. Escalation to the subsequent stages of the strategy would be dependant on the degree of success in reducing the forecast overspend towards a balanced outturn position.

**Stage 1** – With immediate effect Directorates should consider appropriate actions which could be implemented to address the forecast overspend. This should include exploring all opportunities to generate additional income and where it may be possible to downsize staffing complements, where it is possible and appropriate to do so. It is proposed that this is undertaken before the end of the Council's summer recess when the impact on the forecast outturn position will be reassessed.

**Stage 2** – In the event that Stage 1 does not effectively address the forecast overspend, Directorates should consider what non-essential planned expenditure could be 'slipped' into the next financial year.

**Stage 3** – If Stage 2 does not fully address any residual forecast pressure, a moratorium on all non-essential spend should be implemented. The criteria for essential spend being consistent with that applied in 2012/13:

- Is contractually committed
- Essential for the safeguarding of vulnerable children and adults;
- Required to meet health and safety requirements;
- Spend is required to avoid a false economy;
- Spend which is highly sensitive to local Members or local communities

### **7.5 Agency, Consultancy and Non-Contractual Overtime Costs**

The forecast outturn position includes costs in respect of Agency staff, Consultancy and non-contractual overtime. Detailed below is the analysis by Directorate, including comparisons with 2012/13 financial year:

**Agency**

<b>Directorate</b>	<b>Outturn 2012/13</b>	<b>Cumulative to May 2012</b>	<b>Cumulative to May 2013</b>
	£'000	£'000	£'000
Children & Young People's Services	546	61	111
Neighbourhoods & Adult Services	519	52	107
Environment & Development Services	266	34	62
Resources	194	62	29
<b>TOTAL</b>	<b>1,525</b>	<b>209</b>	<b>309</b>

Agency spend in Children's Services is largely due to the need to cover vacant social work posts. Seven newly recruited Social Work staff are imminently due to commence work within the service; this will significantly reduce reliance on agency staff going forward.

The use of agency staff in Adult Services has increased compared to May 2012 levels due to social work vacancies and the need to maintain essential cover in some services areas, and provision of cover arrangements pending the implementation of the new staffing structure in Residential Care.

Environment and Development Services agency costs are greater in the first two months of this year compared with last due to cover arrangements within Waste services pending the implementation of a new structure and resourcing additional Highway Maintenance capital works. Also, seasonal Grounds Maintenance work is now undertaken by a combination of seasonally employed staff and agency workers to minimise the cost of cover arrangements.

Agency spend within the Resources Directorate has reduced compared with May 2012 levels. The main area of Agency spend is ICT support.

**Consultancy**

<b>Directorate</b>	<b>Outturn 2012/13</b>	<b>Cumulative to May 2012</b>	<b>Cumulative to May 2013</b>
	£'000	£'000	£'000
Children & Young People's Services	428	190	15
Neighbourhoods & Adult Services	0	0	0
Environment & Development Services	83	2	62
Resources	26	1	0
<b>TOTAL</b>	<b>537</b>	<b>193</b>	<b>77</b>

The consultancy spend within Children's Services for the first two months of 2013/14 has reduced considerably when compared with the same period last year. The consultancy expenditure relates to the School Improvement Service. This is funded from a combination of revenue budget (25%) and Dedicated Schools Grant (DSG) and earned income from Schools.

Consultancy costs within EDS predominantly relate to review of potential development sites and transportation links within the Local Development Plan.

### Non-Contractual Overtime

Directorate	Outturn 2012/13	Cumulative to May 2012	Cumulative to May 2013
	£'000	£'000	£'000
Children & Young People's Services	84	15	20
Neighbourhoods & Adult Services	456	74	60
Environment & Development Services	397	79	81
Resources	188	24	33
<b>TOTAL</b>	<b>1,125</b>	<b>192</b>	<b>194</b>

Children's Services overtime is largely in respect of safeguarding in residential care homes.

Overtime spend within Adult Services is mainly due to the need to maintain statutory staffing levels in residential, home care, day care services and social work posts and represents cover for sickness and slippage in recruiting to vacant posts.

Environment and Development Services overtime spend is predominantly in respect of Streetpride Services – Highways, Network Maintenance, Street Lighting, Street Cleansing and Grounds Maintenance (£55k) where work is often undertaken at times to avoid inconvenience and danger to the public. Planning and Regeneration Services (£6k) and Waste Management Services (£12k) for sickness and holiday cover.

The Resources Directorate's overtime is predominantly in respect of Revenues and Benefits associated with significant workload pressures primarily brought about by welfare reform changes that are resulting in additional customer contact and income collection and recovery activity (£16k), maintaining ICT Support Services (£7k), and provision of cover within Facilities Services (£5k).

## 8. Finance

The financial issues are discussed in section 7 above.



Management actions need to be identified and implemented across all Directorates to bring projected spend in line with Budget limits by the end of March 2014.

The Budget Principles and 2013/14 Budget Monitoring Arrangements report to Cabinet on 3<sup>rd</sup> July referred to inclusion of information in budget monitoring reports around income collection rates. It is too early in the financial year to provide this information. This will be included in the first budget monitoring report to Cabinet after the summer recess.

## **9 Risks and Uncertainties**

At a time of economic difficulty and tight financial constraints, managing spend in line with the Council's Budget is paramount. Careful scrutiny of expenditure and income across all services and close budget monitoring therefore remain a top priority if the Council is to deliver both its annual and medium term financial plans while sustaining its overall financial resilience.

The current forecast assumes that costs associated with the Winter Pressures will be contained within budget. In 2012/13 these costs exceeded budget by £468k.

## **10. Policy and Performance Agenda Implications**

The delivery of the Council's Revenue Budget and Medium Term Financial Plan within the parameters agreed at the start of the current financial year is essential if the objectives of the Council's Policy agenda are to be achieved. Financial performance is a key element within the assessment of the Council's overall performance framework.

## **11. Background Papers and Consultation**

- Revenue Budget and Council Tax for 2013/14 Report to Council 6th March 2013.
- Strategic Directors and Service Directors of the Council

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## Appendix 1

### Key reasons for forecast over / underspends

#### **Children & Young People's Services (£898k forecast overspend)**

The key factors contributing to the forecast overspend are:

##### **Strategic Management (+£34k)**

A forecast overspend of £20K is due to the agency costs for the temporary Director of Safeguarding, Children & Families and £14k is due to staff cost pressures.

##### **School Effectiveness (+£49k)**

An forecast overspend of £12K is due to match funding required to secure European Social Fund (ESF) grant and £37K is due to projected under recovery of income at Rockingham Professional Development Centre.

##### **Children looked after (+£1.713m)**

The service is now forecasting an overspend which is mainly due to out of authority residential placements (+£1,170k), remand placements (+£89K) and independent fostering placements (+£558K). Further details of placements are below:

- The number of children in residential out of authority placements as at 31<sup>st</sup> May is 22 (a reduction of 3 since 31 March 2013).
- From 1 April 2013 children's remand placements are fully funded by the Local Authority. There are currently 2 remand placements.
- The number of children in Independent foster care as at 31<sup>st</sup> May is 106 (a reduction of 12 since the end of March 2013).
- The number of children in in-house fostering placements as at 31<sup>st</sup> May is 189.
- The number of looked after children was 390 at 31<sup>st</sup> May, a reduction of 2 since 31<sup>st</sup> March 2013

These forecast overspends are partially offset by projected underspends on in house Residential services (-£25k), transport for looked after children (-£35k), staffing savings from vacant posts (-£17k) & placement allowances (-£27k).

##### **Other Children and Families Services (+£60k)**

This is mainly as a result of forecast overspends on Special Guardianship allowances (£28k) due to higher than anticipated backdated settlements & projected overspend on legal costs (£32k)

##### **Remaining CYPS Services (-£958k)**

The above forecast overspends are being partially offset by projected underspends from pension costs being less than budget (-£43k), directorate-wide staff slippage (-£168k), planned reduction in Complex needs placement costs via new commissioning procedures (-£224k) & planned in-year service redesign and delivery (-£523k).

**Environment & Development Services (+£948k forecast overspend)**

The above forecast overspend assumes that the Winter Pressures budget is sufficient to contain costs incurred over the Winter period - In 2012/13 these costs exceeded budget by £468k.

**Streetpride ( +£562k forecast overspend)**

Network Management is projecting a shortfall on income recovery (+£173k) where income targets were inflated on Parking Services budgets by 2.5%. This pressure is partially offset by (-£60k) reduction in TUPE payments to South Yorkshire Police. Other service pressures are mitigated by increased income from Adoptions and Searches and reduced Street Lighting energy costs.

Waste Management services have pressures primarily on income from sale of recyclables as a result of a general reduction in waste volumes, and from commercial waste contracts which are still less than budgeted following the downturn in economic activity. Current projections show a pressure of +£449k, but negotiations with waste disposal contractors are ongoing and should any savings be negotiated, these will help to mitigate this pressure.

**Regeneration, Planning, Customer and Cultural Services (+£386k forecast overspend)**

Economic Development services are expecting an under-recovery of income (+£85k). Heritage Services are projecting a +£50k pressure due to the change in venue for wedding services to Clifton Park Museum as the venue will need to be increase its planned opening hours and provide appropriate staffing . With Customer Services there is an unachievable saving from 2012/13 of +£80k and a further +£120k from the 2013/14 savings proposals which currently remain outstanding. There is a staff cost pressure within the Customer Contact Centre of +£51k; work is ongoing to try to mitigate these.

**Neighbourhoods & Adult Services (+£1.532m forecast overspend)**

**Adult Services** are currently forecasting an overspend of **+£1.485m**.The key underlying budget pressures include:

**Older People (+£691k)**

Forecast over spend on In-House Residential Care due to slippage on implementation of 2013/14 budget savings target (+£400k), increase in Direct Payments over budget (+£589k) and overall forecast over spend on Domiciliary Care services (+£454k) due to an increase in demand particularly over the last three months for independent sector care.

These forecast overspends are partially mitigated by a forecast underspend on independent sector residential and nursing care (-£105k) due to 32 less clients in placement than budgeted and an increase in self funders receiving care resulting in

a reduction in the average cost per client plus additional income from property charges is being received. Currently there is also a forecast underspend in respect of developing dementia services (-£81k) and carers breaks (-£75k). Slippage on recruitment to vacant posts within Assessment & Care Management and community support plus additional income from Health (-£444k) and additional income from the provision of transport services (-£47k).

#### **Learning Disabilities (+£491k)**

There is a forecast overspend on Day Care (+£388k) due to slippage on implementation of day care review including an increase in fees and charges, plus a recurrent budget pressure on transport. There is a forecast overspend in independent sector home care (+£87k) due to slippage in meeting an agreed budget saving. High cost placements within independent day care and community support is resulting in a forecast overspend of +£214k. These forecast overspends are partially mitigated by slippage on developing Supported Living schemes plus additional funding from health (-£174k) and efficiency savings on Service Level Agreements (SLA's) for advice and information (-£24k).

#### **Mental Health (-£146k)**

There is a projected overspend on the residential care budget due to slippage on budget savings plan to move clients into community support services and a continued budget pressure on direct payments (+£219k). These are more than offset by forecast underspends in the community support budget (-£365k).

#### **Physical & Sensory Disabilities (+£518k)**

Further increase in demand for Direct Payments (+ 5 clients) together with recurrent budget pressure (+£518k).

#### **Supporting People (-£46k)**

Efficiency savings on subsidy contracts have already been identified against budget (-£46k).

#### **Adults General (-£23k)**

This includes the cross cutting budgets (Workforce planning and training, and corporate charges) which are forecasting an overall slight underspend based on the level of charges incurred last year.

#### **Neighbourhoods General Fund (+£47k)**

The projected year end outturn position for Neighbourhoods shows a forecast overspend of (+£47k).

The main budget pressure of £47k is within Environmental Services as a result of staff cost pressures within Community Protection plus essential health and safety work on closed Landfill Sites.

**Public Health (Nil)**

Public Health services were transferred from Health to Local Authorities on 1 April 2013. The service is funded by a ring fenced specific grant from the Department of Health. For Rotherham this is £13.790m for 2013/14 and the service is currently forecasting to spend within approved budget. The majority of grant funding (£11.8m) is used to procure public health related contracts with a range of providers including RFT, RDASH, GP's, pharmacies and services within the voluntary sector. Services provided include sexual health, health checks, obesity, substance misuse and public health advice and information.

**Housing Revenue Account (HRA)**

The overall forecast as at end May 2013 is that the HRA will outturn on budget with a planned (budgeted) use of its working balance (reserves) of £2.604m.

Currently a forecast under-recovery of income from charges for services and facilities is being mitigated by forecast savings within supervision and management.

**Resources Directorate (+590k forecast overspend)**

**Asset Management** – There is currently a forecast pressure of +£590k on the Land Bank within Asset Management services due to the need to keep vacant council owned properties secure until they are sold or demolished.

Other Services with the Resources Directorate (HR&P, Financial Services, Legal Services and Commissioning, Procurement, Policy and Performance) are currently forecasting a balanced outturn.

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